

§170.315(d)(3) Audit report(s)

2015 Edition Test Procedure

Version 1.3 Updated on 09-21-2017

Revision History

Version #	Description of Change	Version Date
1.0	Final Test Procedure	01-08-2016
1.1	Updated Gap Eligibility.	04-08-2016
1.2	Step 2 for SUT and TLV were both clarified and changed to reflect that multiple audit reports can also be created to meet the criterion. The data references in the SUT column were also re-ordered to make the required data clearer.	05-26-2017
1.3	As of September 21, 2017, Test Procedure has been moved to Attestation/Developer self-declaration only.	09-21-2017

Regulation Text

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§170.315 (d)(3) *Audit report(s)*—

Enable a user to create an audit report for a specific time period and to sort entries in the audit log according to each of the data specified in the standards in §170.210(e).

Standard(s) Referenced

Applies to entire criterion

§ 170.210(e)(1)

(i) The audit log must record the information specified in sections 7.2 through 7.4, 7.6, and 7.7 of the standard specified in § 170.210(h) and changes to user privileges when health IT is in use.

(ii) The date and time must be recorded in accordance with the standard specified at § 170.210(g).

(2)

(i) The audit log must record the information specified in sections 7.2 and 7.4 of the standard specified at § 170.210(h) when the audit log status is changed.

(ii) The date and time each action occurs in accordance with the standard specified at § 170.210(g).

(3) The audit log must record the information specified in sections 7.2 and 7.4 of the standard specified at § 170.210(h) when the encryption status of electronic health information locally stored by EHR technology on end-user devices is changed. The date and time each action occurs in accordance with the standard specified at § 170.210(g).

§ 170.210(g) *Synchronized clocks*. The date and time recorded utilize a system clock that has been synchronized following ([RFC 1305](#)) Network Time Protocol or ([RFC 5905](#)) Network Time Protocol Version 4

§ 170.210(h) *Audit log content*. [ASTM E2147-01 \(Reapproved 2013\) Standard Specification for Audit and Disclosure Logs for Use in Health Information Systems](#)

Testing components

Self-Declaration: As of September 21, 2017, the testing approach for this criterion is satisfied by self-declaration.

The archived version of the Test Procedure is attached below for reference.

System Under Test	Test Lab Verification
The health IT developer submits their self-declaration to the ONC-ATL.	The Tester verifies the self-declaration document contains all of the required data elements.

Archived Version:

 [§170.315\(d\)\(3\) Test Procedure](#)

Content last reviewed on June 22, 2020