

**New York eHealth Collaborative  
EHR/HIE Interoperability Workgroup**

**Work Plan for Exemplar HIE Governance Entities Project**

**May 31, 2013**

## **Scopes of Work**

The NYeC Exemplar HIE Governance Entities project includes three scopes. Scope #1 has a number of activities and will have regular reporting done with monthly reports as well as ONC reporting requirements. Scope #2 is focused on the participation and collaboration with the ONC Governance Forum and with other initiatives and organizations working on the governance of trusted exchange of health information. Scope #3 is focused on patient matching. Each one is outlined below, along with the key elements that will be required to implement that scope.

Following this narrative is a spreadsheet laying out the tasks, activities/milestones, timing and responsibilities for each of the scopes described below.

### **Scope #1: Query of Provider Directories**

- Recruit and launch pilots demonstrating query of a federated set of provider directories for Direct exchange
- Monitor and support pilots with facilitation and technical support
- Coordinate with the ONC Modular Specifications Initiative and participate in bi-weekly calls with ONC and ModSpec leadership
- Test the implementation of the ModSpec Implementation Guide, if ready in sufficient time for testing
- Establish measures for data reporting on pilots for ONC; report data from pilots to ONC on quarterly and bi-annual intervals
- Bring lessons learned and suggested modifications to the IWG Direct Exchange specifications back to the IWG membership and the S&I community
- Update draft implementation guide for the HPD+ federated provider directory specifications

It will be important when recruiting 5-7 states and vendors to participate in the federated provider directory pilots, that the work of NYeC and the Exemplar HIE Governance Entities grant is seen as collaborative with other similar interstate efforts popping up around the country. In particular, key lessons may be found from the State Health Policy Consortium's multi-state initiatives, especially from the Western States Consortium where an HPD+ federated directory pilot has been demonstrated by California's Institute of Public Health Improvement on behalf of the WSC. In the development of this work plan, we also want to flag the importance of working to align the efforts of the two Exemplar grants, as we attempt to move out of test environments to production.

We will quickly develop criteria for prospective pilot participants around necessary technical and organizational capacity to participate fully and deliver on schedule, and conduct a rapid evaluation of likely states and vendors meeting or exceeding the criteria, to recruit a set of pilot participants who can demonstrate delivery of results based on a query linking two or more provider directories. We anticipate that a core group of

participants will come from IWG members, but we will broaden our recruitment to other states and vendors.

After the recruitment process identifies the ready and willing participants, we will launch the provider directory pilot initiative with an “all-hands-on-deck” webinar in late June, where pilot teams would discuss the possible approaches to be tested and would confirm the timeline of all-group meetings and regular small group check-in calls. In the launch meeting, participants will be asked to confirm their commitment to the project and its timeline, with appropriate resources available. We will schedule bi-weekly check-in calls with each of the pilot teams, and monthly webinars with all of the pilot participants engaged in presenting progress toward implementing the federated directory query functionality, identifying challenges, potential solutions for those challenges, and best practices. An individual project work plan will be developed in collaboration with each pilot team, and a Memorandum of Understanding (MOU) will be developed and distributed for participants’ signatures.

Information will be gathered from these check-in calls, webinars and other contacts with pilot teams; best practices and lessons learned will be identified; and a draft updated implementation guide for HPD+ federated provider directory specifications will be produced. The draft would go through a review process that includes CCHIT and those organizations deemed by the IWG for participation in the finalization of the updated guide. Measures will be established for the pilots and the data will be reported on to ONC on quarterly and bi-annual intervals.

### **Scope #2: Advancing the ONC Governance Framework**

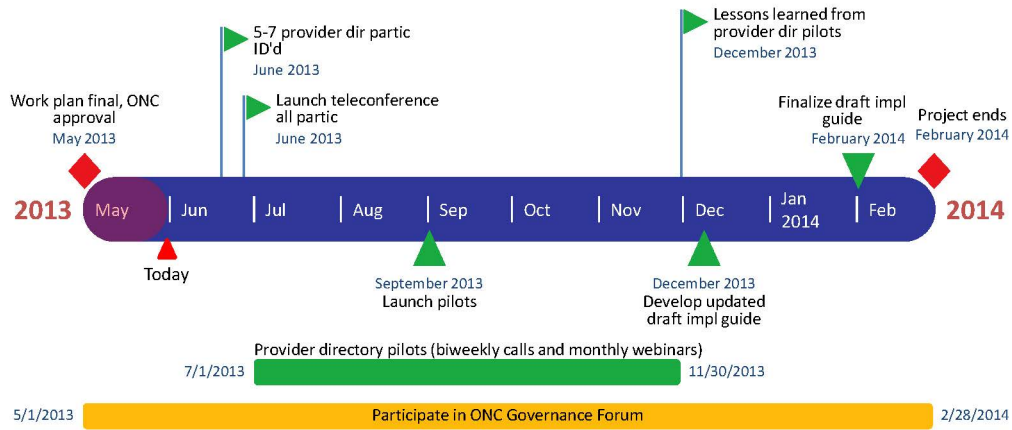
- Participation in the ONC Governance Forum to advance the ONC Governance Framework
- Collaboration with other ONC-sponsored initiatives, as appropriate and relevant to the Exemplar HIE Governance grant

We would flag as an important need monitoring and collaborating with the work of Direct Trust.org as a fellow Exemplar grantee. Close collaboration should occur, so that when the testing environment of the IWG pilots are ready to move to production and implementation environments, strong policy documentation has occurred and subject matter expertise has been maintained in this quickly changing environment.

### **Scope #3: Patient Matching**

NYeC and the IWG will collaborate with the ONC-led initiatives for patient matching, including participating in a patient matching learning forum and disseminating information about the patient matching activities to the IWG membership.

The timeline below outlines the project in more detail.



**Timeline for Exemplar HIE Governance Grant**