



The Office of the National Coordinator for
Health Information Technology
Health IT Advisory Committee

Trusted Exchange Framework Task Force Second Meeting

Arien Malec, Co-Chair
Denise Webb, Co-Chair

February 23, 2018



Agenda

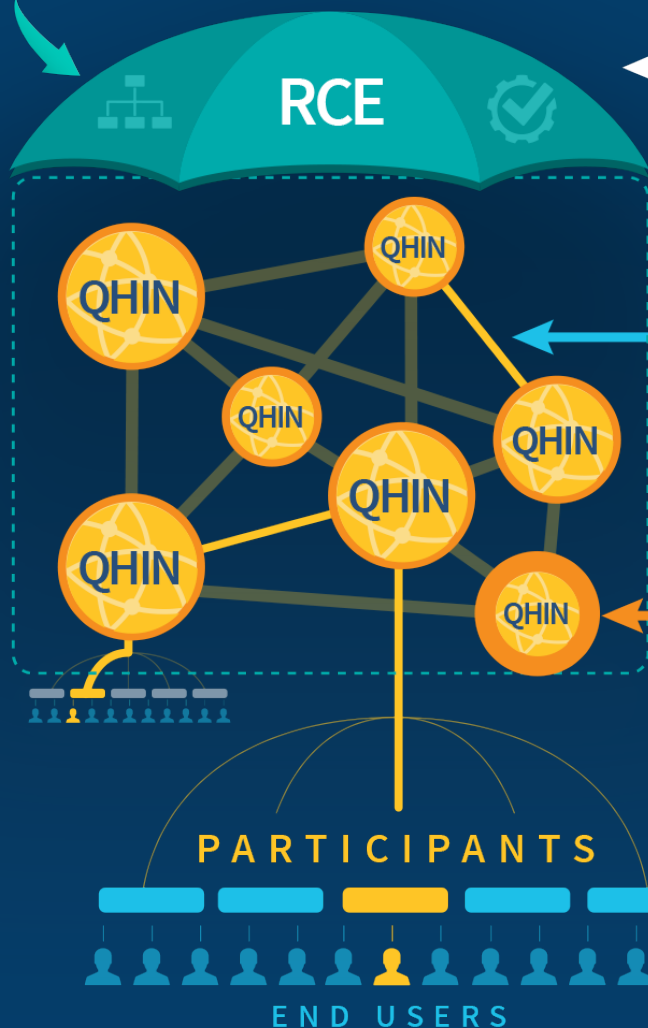
- **Call to Order/Roll Call**
- **Brief Review of the Recognized Coordinating Entity (RCE)**
- **Discussion**
- **Homework and Next Steps**
- **Public Comment**
- **Adjourn**

Charge

- **Overarching charge:** The Trusted Exchange Framework Taskforce will develop and advance recommendations on Parts A and B of the Draft Trusted Exchange Framework to inform development of the final Trusted Exchange Framework and Common Agreement (TEFCA).
- **Detailed charge:** Make specific recommendations on the language included in the Minimum Required Terms and Conditions in Part B, including—
 - » **Recognized Coordinating Entity:** Are there particular eligibility requirements for the Recognized Coordinating Entity (RCE) that ONC should consider when developing the Cooperative Agreement?
 - » **Definition and Requirements of Qualified HINs:** Recommendations for further clarifying the eligibility requirements for Qualified HINs outlined in Part B.
 - » **Permitted Uses and Disclosures:** Feedback on enhancing or clarifying the six (6) permitted purposes and three (3) use cases identified in Part B.
 - » **Privacy/ Security:** Are there standards or technical requirements that ONC should specify for identity proofing and authentication, particularly of individuals?

How Will the Trusted Exchange Framework Work?

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RCE provides oversight and governance for Qualified HINS.

Qualified HINs connect directly to each other to serve as the core for nationwide interoperability.

QHINs connect via connectivity brokers.

Each Qualified HIN represents a variety of networks and participants that they connect together, serving a wide range of end users.

READ MORE: QHINs in Part B, Section 2

READ MORE: Connectivity Broker Capabilities in Part B, Section 3

Recognized Coordinating Entity (RCE)

Recognized Coordinating Entity

The RCE is the entity selected by ONC that will enter into agreements with HINs that qualify and elect to become Qualified HINs in order to impose, at a minimum, the requirements of the Common Agreement set forth herein on the Qualified HINs and administer such requirements on an ongoing basis as described herein.



The RCE will act as a governance body that will operationalize the Trusted Exchange Framework by incorporating it into a single, all-encompassing Common Agreement to which Qualified HINs will agree to abide. In its capacity as a governance body, the RCE will be expected to monitor Qualified HINs compliance with the final TEFCA and take actions to remediate non-conformity and non-compliance by Qualified HINs, up to and including the removal of a Qualified HIN from the final TEFCA and subsequent reporting of its removal to ONC.

The RCE will also be expected to work collaboratively with stakeholders from across the industry to build and implement new use cases that can use the final TEFCA as their foundation, and appropriately update the TEFCA over time to account for new technologies, policies, and use cases.

[READ MORE: How Will it Work?](#)

Recognized Coordinating Entity (RCE)

2018
Selection

Process for Recognizing Entity

ONC will release an open, competitive Funding Opportunity Announcement (FOA) in spring 2018 to award a single multi-year Cooperative Agreement to a private sector organization or entity. The RCE will need to have experience with building multi-stakeholder collaborations and implementing governance principles in order to be eligible to apply for the Cooperative Agreement.



Expectations for Entity

ONC will work with the RCE to incorporate the Trusted Exchange Framework into a single Common Agreement to which Qualified HINs and their participants voluntarily agree to adhere.

The RCE will **have oversight, enforcement, and governance responsibilities for each of the Qualified HINs** who voluntarily adopt the final TEFCA.

READ MORE: [How Will it Work?](#)

Discussion Questions

- What outcomes or milestones should the RCE be accountable for over the 3-year award?
- What is the timing for achieving each milestone?
- What metrics should we consider for measuring success or compliance with the expected milestones?
 - » How the RCE is performing with respect to its responsibilities to ONC
 - » How the RCE is measuring and reporting success of the Qualified HINs
- What eligibility requirements should the RCE be required to meet?
- What will the allocation of responsibility and authority be between the RCE and ONC?
 - What is the RCEs role at the end of the 3-year Cooperative Agreement and how do we ensure a smooth transition process?

What outcomes or milestones should the RCE be accountable for over the course of the 3-year cooperative agreement?

Examples:

- Developing a single, all-encompassing Common Agreement that incorporates the Minimum Required Terms and Conditions included in Part B of the Draft Trusted Exchange Framework and additional and appropriate provisions to ensure smooth operation of the TEFCA
- Entering into agreements with Qualified HINs that voluntarily agree to adopt and sign onto the TEFCA
- Developing a process for filing and adjudicating noncompliance with the terms of the common agreement
- Establishing a process for appropriately updating the TEFCA over time – with industry feedback – to account for new technologies, policies, and use cases
- Establishing a long-term sustainability plan to continue its role of supporting all stakeholders and ensuring that interoperability continues to advance after the three-year funding opportunity has concluded

What is the timeline for achieving each milestone?

- What should be required in year 1, year 2, and year 3, respectively?

What metrics should we consider for measuring success or compliance with the expected milestones?

- How the RCE is performing with respect to its responsibilities to ONC
 - » Examples:
 - Development, finalization, approval by ONC and release of the Common Agreement
 - Creation of an outreach strategy for working with stakeholders
 - Sound sustainability strategy developed and in place to continue trusted data exchange beyond three year funding period, as well as ongoing revision of the TEFCAs
- How the RCE is measuring success of the Qualified HINs
 - » Examples:
 - Development of a Qualified HIN onboarding process
 - Number of new Qualified HIN agreements developed as a result of modifications required by the TEFCAs
 - Total number of Qualified HINs voluntarily adhering to TEFCAs and implementing processes to engage in widespread health information exchange
 - Establishment of an audit-appropriate process for validating removal of a Qualified HIN from the final TEFCAs

What eligibility requirements should the RCE be required to meet?

- Examples:
 - » Type of entity i.e not-for-profit 501 (c)(3), 501 (c)(4)?
 - » Can operationalize the TEFCA under current corporate and organizational structure
 - » Has an existing agreement (or single collective set of existing policies) which the applicant currently determines, oversees, or administers
 - » Has existing and active participation and membership across geographic regions from multiple types of stakeholders
 - » Demonstrates active and ongoing stakeholder engagement from both the private sector and public sector
 - » Has mechanisms for and experience with dispute resolution
 - » Demonstrates financial sustainability

Question

- What will the allocation of responsibility and authority be between the RCE and ONC?

Question

- What is the RCEs role at the end of the 3-year Cooperative Agreement and how do we ensure a smooth transition process?

Workplan

Meeting Date	Discussion Items
February 20 th , 2-3pm ET	Welcome, review of TECCA, and review of Task Force project plan
February 23 rd , 1-2pm ET	Recognized Coordinating Entity (RCE) eligibility requirements
February 26 th , 2-3pm ET	Qualified HIN definition and eligibility requirements
March 2 nd , 2-3pm ET	Permitted Uses and Disclosures
March 5 th , 2-3pm ET	Privacy/Security Begin drafting recommendations
March 9 th	NO MEETING- Continue drafting recommendations
March 12 th , 2-3pm ET	Review draft recommendations
March 16 th , 2-3pm ET	Finalize recommendations
March 19 th , 2-3pm ET	Send final recommendation to full committee for review
March 21 st , 2-3pm ET	Present recommendations to full committee

Homework

- Review 21st Century Cures Act Title 4003- Interoperability
- In the Draft Trusted Exchange Framework, review:
 - » Definition of a Qualified HIN (page 28)
 - » Requirements of Qualified HINs (Section 2, page 30-31)
 - » Standardization (Section 3, pages 31-34)
 - » Transparency (Section 4, page 34)
 - » Cooperation and Non-Discrimination (Section 5, pages 35-36)



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Adjourn



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