CC - MONTHLY CALL MEETING NOTES 3 23 11
Coordinating Committee

Monthly Call

Meeting Notes: 3/23/11 (2:00 - 3:00 pm EST)

NOTE: *Portions of the meeting notes related to Confidential Participant Information may have been removed.*

Committee Members (attendance signified with a "✓")

Participant Members

- ✓ Michael Matthews, MedVirginia (CC Chair)
- ✓ Laura Conn, CDC
- ✓ John Mattison, Kaiser Permanente
- ✓ Stone Quillian, DoD
- ✓ Kitt Winter, SSA
- ✓ David Groves, HealthBridge
- Stephania Griffin, VA
- Marc Overhage, Regenstrief

Cooperative Representative Members

- ✓ Holt Anderson, NCHICA (CC Vice-Chair)

Definite Plan Member
- ✓ Jeffrey Blair, LCF Research

ONC

- ✓ Mary Jo Deering
- ✓ Mariann Yeager (CC Secretary)

Invited Subject Matter Experts

- ✓ Steve Gravely, Troutman Sanders
- ✓ Erin Whaley, Troutman Sanders
- Doug Fridsma, ONC, Office of Standards and Interoperability
- ✓ Avinash Shanbhag, ONC, Office of Standards and Interoperability
- Rich Kernan, Specifications Lead, ONC
- Leslie Power, ONC Testing Team

Confirmed Observers
- ✓ Sean Turner, Catholic Healthcare West
- ✓ Adriane Able, South Carolina HIE
- ✓ Kevin Brady, NIST
- ✓ LCDR Laurie Brown, DoD
- ✓ Kate Cauley, Wright State University
- ✓ Ted Chan, San Diego Beacon Collaborative
- ✓ Kathy Church, HealthLinc (Bloomington Hospital)
- ✓ Tim Cromwell, VA
- ✓ Zack Finn, Big Bend Health.com
- ✓ Anupam Goel, San Diego Beacon Collaborative
- ✓ Kara Johnson, Marshfield Clinic Research Foundation, Marshfield
- ✓ James Killeen, San Diego Beacon Collaborative
- ✓ Melinda Machones, Community Health Information Collaborative
- ✓ Mike Matull, Oregon Community Health Information Network (OCHIN)
- ✓ Brian Morgan, VHA
- ✓ Dave Patterson, South Carolina HIE
- ✓ Marty Prahl, SSA
- ✓ Gerard Reeder, EHR Doctors
- ✓ Dennis Reuss, Quality Health Network
- ✓ Samantha Stamper, WVHIN
- ✓ Kevin Stevens, CDC
- ✓ Joe Turk, Cleveland Clinic
- ✓ Sean Turner, Catholic Healthcare West
- ✓ Renee Rowell, ONC, Office of Standards and Interoperability
- ✓ Matthew Rahn, ONC, Office of Standards and Interoperability
- ✓ Deborah Lafky, ONC, Office of Policy
- ✓ Vijay Shah, Nitor Group (ONC Contractor)
- ✓ Buff Colchagoff, Nitor Group (ONC Contractor)
- ✓ Kathy Greenberg, Nitor Group (ONC Contractor)
- ✓ Kevin Johnson, Nitor Group (ONC Contractor)
- ✓ Chris Brancato, Deloitte (ONC Contractor)
- ✓ Benson Chang, Deloitte (ONC Contractor)
- ✓ Kate Berry, NeHC
- ✓ Vernette Roberts, NeHC
- ✓ Meryt McGindley, NeHC
- ✓ Lisa Kosh, NeHC

Meeting Summary

Agenda Topic #1: Identify participants

Discussion Summary: Roll call facilitated to identify CC members and observers present.
Decision / Outcome: See list of participants and observers noted above.
Action / Follow Up: N/A

Agenda Topic #2: Quorum

Discussion Summary: Committee Chair confirmed the CC members present and declared a quorum.
Decision / Outcome: Quorum was established since 8 of the voting members, including at least one Federal and one non-Federal Type 1 members, were present.
Action / Follow Up: N/A

Agenda Topic #3: Review Agenda

Discussion Summary: The agenda was reviewed and a request for any new business was made.
Decision / Outcome: No new business was identified.
Action / Follow Up: N/A

Agenda Topic #4: Approve 2/25/11 Meeting Notes

Discussion Summary: Meeting notes from 2/25/11 were reviewed by the CC.
Decision / Outcome: A motion to approve the 2/25/11 meeting notes was made, seconded and approved without further discussion.
Action / Follow Up: Mark meeting notes as final and post to http://www.hhs/gov/healthit web site.

Agenda Topic #5: Policy and Technical Task Group Update

Discussion Summary: Mariann Yeager and Avinash Shanbhag briefed the CC on the Task Group's efforts and progress to date and clarified that the Task Group is not seeking any formal decision from the CC.

- Testing Issues
  - Testing compliance with FIPS 140-2: The Testing Team asked the P&T TG whether the validation plan should include testing for compliance with FIPS 140-2. The TG determined that since compliance with FIPS 140-2

is not mentioned specifically in the Specifications, this should not be part of validation testing.

- o Scope of system to be tested: This is an issue that the TG has been considering for the past several weeks. The issue concerns how "far back" in an organization's architecture testing goes. The Testing Team presented a recommendation to the TG during its March 14th meeting to reframe one of the options discussed with the CC. While the TG members seemed generally supportive of the recommendation, they all wanted more time to think about it and discuss the recommendation within their organizations. The TG will discuss the issue further on 3/28 and will hopefully present a recommendation to the CC during its April meeting. The CC did not have any questions about this issue.

- Empty documents: The CC asked the TG to review and make a recommendation regarding when and how empty documents should be used in the Exchange. The TG discussed this issue during its 2/28 meeting. The general consensus of the TG was that this issue would be addressed through a definition of minimum data sets for each specification and profile. (If there is a defined minimum data set, then empty documents would be considered exceptions.) This will likely be done through the S&I initiatives focused on CDA consolidation and transfer of care.

  The CC members appreciated the TG consensus on this issue but asked that the TG revisit the issue based on additional context that was provided by CC members during the meeting and through email. The CC reiterated that there is an immediate need for policy guidance for the Exchange on this issue since federal partners currently have different expectations regarding submission of valid documents without clinical data. Specifically, the CC believes that the following are important points for the TG consider.

  - Some federal agencies do not want to receive CCDs without clinical content (e.g. when there is an identity match, but no data available within the query parameters).
  - Other federal partners are asking to receive CCDs without clinical content so they can do patient correlation.
  - The specifications do not address how to respond to such scenarios, where there is a legitimate record, but no clinical content. For example, when a patient pre-registered before a procedure was performed, the patient's demographic data will be included in a CCD but no clinical data if the procedure had not yet been performed.
  - The specifications should identify whether a null message was deliberate or not and specify the response.
  - To the extent that the TG determines that this issue should be addressed through specifications, the CC needs to understand from ONC how to bring a requirement forward for specification development.

- Specifying use of UDDI web services registry: The CC asked the TG to look at this issue which came up during an OP&P Task Group meeting. The basic

issue is that specifications don't specify how a Participant should use the UDDI. The TG discussed this issue, but needed further context to understand the business need. In addition, the Task Group questioned whether there should be specific requirements regarding how and when the UDDI registry is used given varying use cases. The Task Group also determined that the UDDI registry should not have to be used on a transactional basis to avoid bogging down the transaction process. This issue is now closed unless additional concerns about use of the UDDI are brought forward.

- Preliminary Survey Results - Materiality of Revised Specifications: During its 3/21 meeting, the P&T Task Group reviewed the materiality survey results for the changes to the query, retrieve and patient discovery specifications. While the results indicate that the changes are not material, the TG does not feel comfortable making a recommendation until there is a more definitive migration plan.

- Recommended migration plan for revised specifications: The P&T Task Group met on 2/28 and 3/14 to discuss approaches, timeframes and plans for participants to migrate to new versions of core NwHIN specifications. The CC had asked the Task Group for recommendations today regarding the ability to expedite migration efforts, while balancing risk and impact to exchange.

  The Task Group initially put forward a high-level, 6 month approach to migration. The Task Group reviewed a draft of a high-level approach for migration developed by the specifications team and posed a number of questions and expressed some concerns about the approach (included as Attachment 2). In response to this input, the specifications team is going to create a more detailed approach for migration that shows how the process could work in practice, as it relates to a particular specification change. During the course of discussions, the Task Group recognized the need to clearly identify the interoperability policy objectives that consider how interoperability would be preserved with changing technical requirements. A strong policy foundation should help guide the specification team's work.

  The Task Group expects to present its recommendations at the April CC meeting.

Decision / Outcome - Summary: N/A

Action / Follow Up: N/A

Agenda Topic # 6: Operating Policy and Procedure Task Group Update

Discussion Summary:

- Survey Results regarding Participant approval of revised OP&P #1: At its last meeting, the CC approved changes to OP&P 1. The DURSA and OP&P 5 require a minimum of 2/3 of the Federal and 2/3 of the non-Federal Participants to approve changes to the OP&Ps. So far only 3 Participants have responded. Approval is needed from at least one more Federal and two more non-Federal Participants.

- Update on revisions to OP&P #3: The OP&P TG is meeting within the next 2 weeks to discuss changes to OP&P 3 including the process for Participants to make service changes and voluntarily suspend their participation in the Exchange.

Decision / Outcome: N/A

Action / Follow Up: Staff will re-circulate the OP&P 1 approval survey and Participants are encouraged to respond.

Agenda Topic #7: Operations Tiger Team Progress Report

Discussion Summary: Mariann Yeager provided the CC with an update on the status of the recommendations from the November Operations Tiger Team meeting. She shared with the CC a dashboard showing the status of the recommendations. Of the 27 recommendations, 10 have been completed. These completed recommendations addressed the onboarding process, changes to the Application for Participation and changes to the Operating Policies and Procedures. One item was closed as a result of the S&I CDA consolidation initiative. Five recommendations are still in process. Two recommendations have planned timeframes for implementation but have not yet been started. Approaches to address seven of the recommendations are still under discussion. Finally, there are two issues that are strategic issues to be addressed by the CC: (i) review the eligibility criteria for Participants and determine whether they can be revised to be more self-executing and (ii) the coordinated development of a NwHIN Exchange Road Map. The CC will discuss how to proceed on these two issues and ONC will continue to keep the CC informed of the status of the remaining recommendations.

Decision / Outcome: N/A

Action / Follow Up: N/A

Agenda Topic #8: DURSA Task Group Update

Discussion Summary: The DURSA Task Group met on 3/23 to discuss proposed revisions to four definitions.   The agreement will be revised to reflect the consensus position of the group and circulated to the CC.   The objective is to submit the finalized amendment through federal clearance for approval and signature in April.

Decision / Outcome: N/A
Action / Follow Up: N/A

Agenda Topic #9: Onboarding Wiki

Discussion Summary: ONC updated the CC regarding a new wiki page that was set up with resources and information regarding the Exchange onboarding process. The site is accessible from the Standards and Interoperability Wiki. Additional content and resources will be added over time.

Decision / Outcome: N/A
Action / Follow Up: N/A

Agenda Topic #10: CONNECT Update

Discussion Summary: ONC updated the CC regarding CONNECT efforts to work on several fixes to the gateway by June or July 2011. ONC is working with the Board of Directors of CONNECT to establish a long-term plan. A more detailed update will be provided at the CC's April meeting.

Decision / Outcome: N/A
Action / Follow Up: N/A

Agenda Topic #11: New Business

Discussion Summary: N/A
Decision / Outcome: N/A
Action / Follow Up: N/A

Agenda Topic #12: Meeting Schedule

Discussion Summary: N/A
Decision / Outcome:

- Next monthly call: 4/27/11, 2:00 – 3:00 pm
- Anticipated agenda items for next meeting:
    - Approve March 2011 Meeting Notes
    - CC Strategy Session Action Item Updates

Action / Follow Up: N/A

Agenda Topic #13: Adjournment

Discussion Summary: The Chair asked whether there was any other business the CC wanted to address. No other business was raised.

Decision / Outcome: A motion to adjourn was made, seconded and passed without further discussion. The meeting adjourned.

Action / Follow Up: N/A