



The Office of the National Coordinator for
Health Information Technology



Advance Interoperable Health Information Technology Services to Support Health Information Exchange

Informational Session

February 24, 2015

3:00 – 4:00 PM



HealthIT.gov

- Introductory Remarks
- ONC Grant Funding Overview
- Advance Interoperable Health Information Technology Services to Support Health Information Exchange Program Overview
- Funding, Eligibility, and Application Information
- Application Responsiveness and Objective Review

INTRODUCTORY REMARKS

- Provide information on the Funding Opportunity Announcement for the Advance Interoperable Health Information Technology Services to Support Health Information Exchange Program (grant #: IX-IX-15-001)
- Describe the purpose and scope of the program
- Explain the application and review processes
- Gather questions from interested organizations and individuals for subsequent response via the Frequently Asked Questions (FAQ) on ONC's website
- Provide links to additional information

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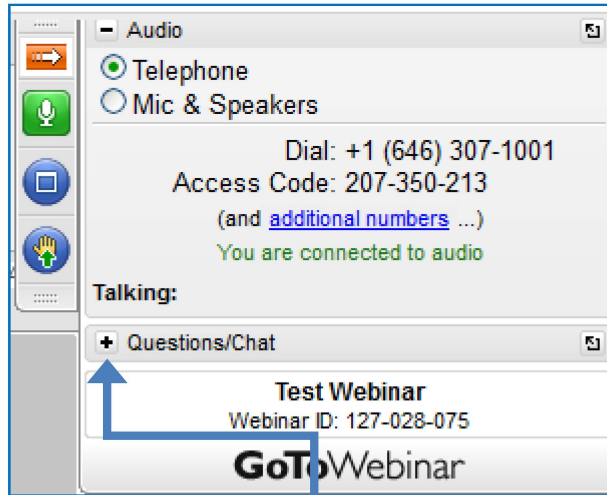
- This teleconference is being recorded, if you object please disconnect now
- Teleconference slides and recording will be available after the teleconference at <http://healthit.gov/newsroom/advance-interoperable-health-information-technology-services-support-health-information>
- Please submit questions during the presentation. Any question not addressed in the FOA or the FAQs will be collected and evaluated, and the FAQs will be updated as needed
- The FAQs can be viewed at <http://healthit.gov/newsroom/advance-interoperable-health-information-technology-services-support-health-information>

How to Ask a Question



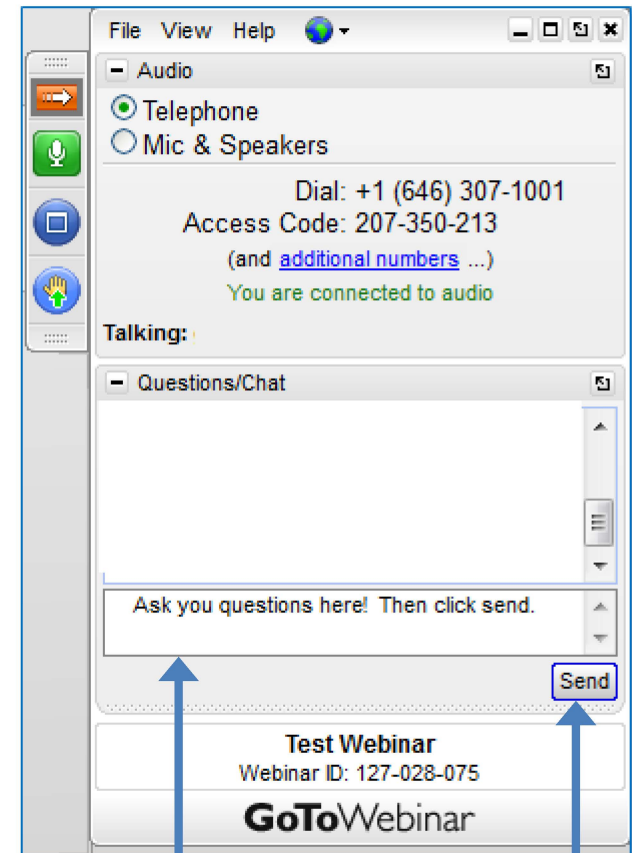
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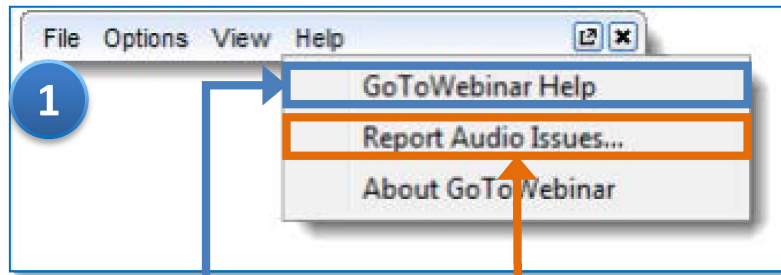
Open the questions pane by clicking on the black + icon



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Type your question and click **Send**

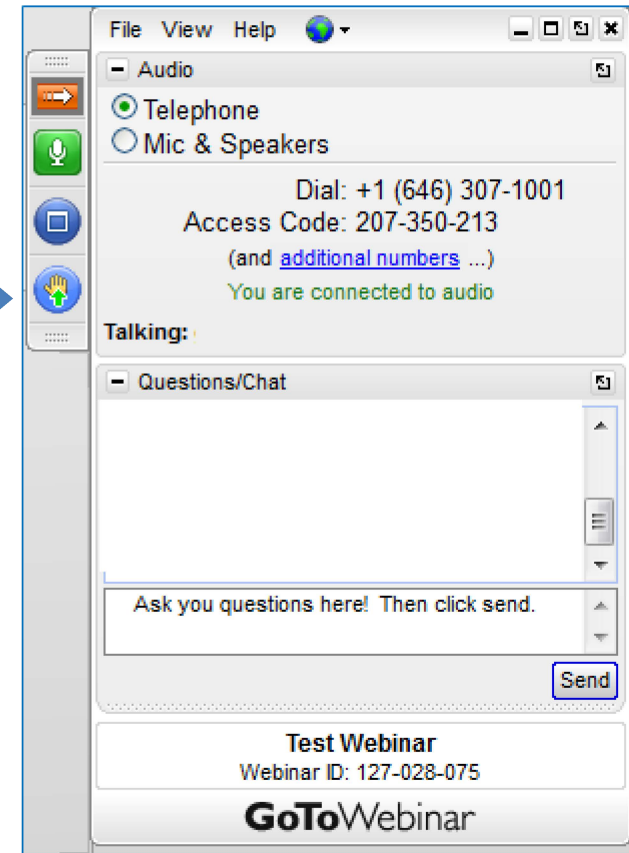
To access webinar help, click **Help** at the top of your Control Panel



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During the last 5 minutes of the presentation, you can also raise your hand to ask a question. The moderator will unmute your line and ask you to state your question.

ONC GRANT FUNDING – OVERVIEW

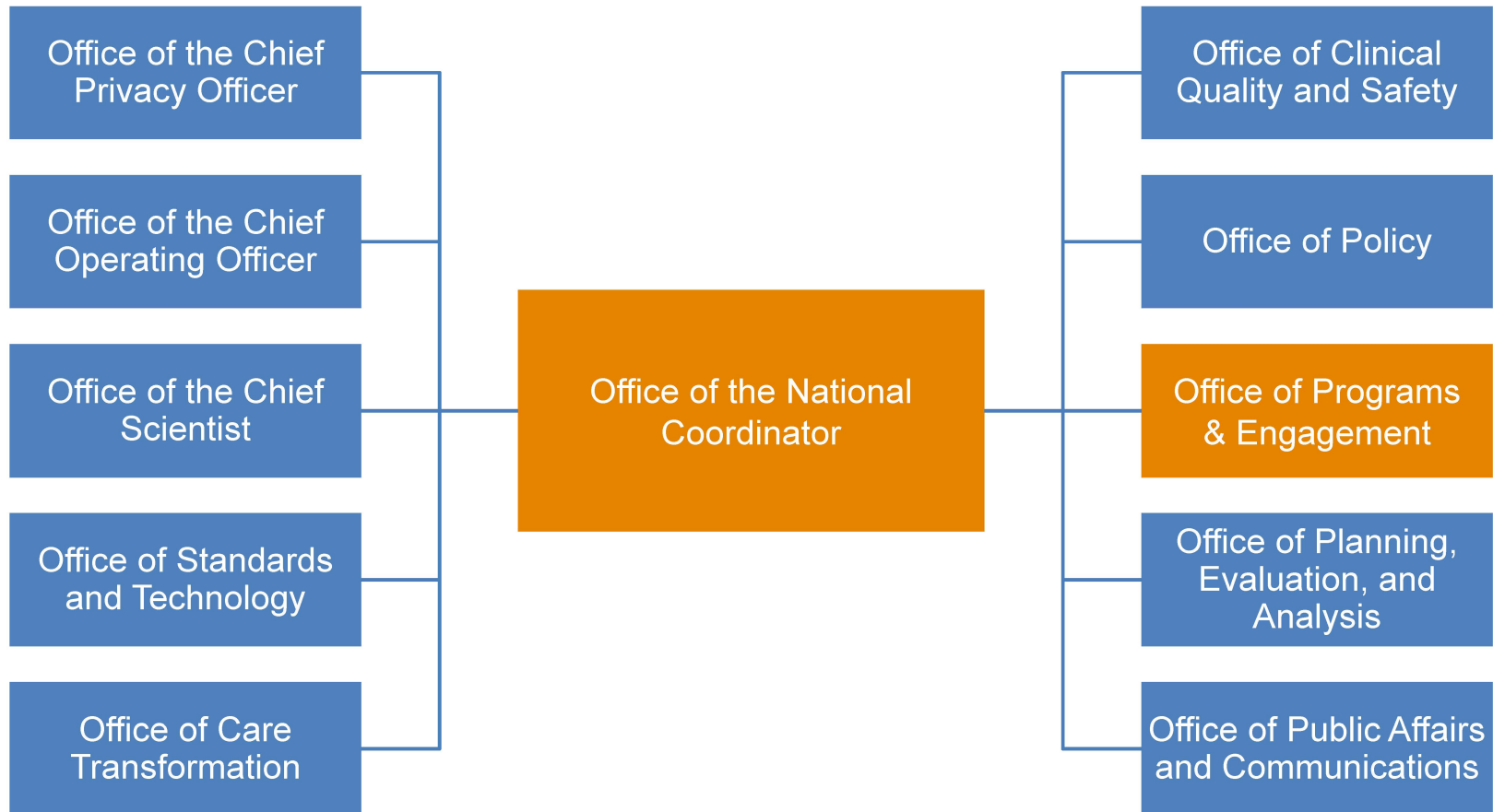
- \$36.1M available through three funding opportunities
- Promote improvements in health IT interoperability, infrastructure, and data quality
- Accelerate the adoption of health IT tools and services and the exchange of health information
- Expand the training needs of the health care professional to incorporate Meaningful Use criteria and care transformation programs
- Foster community-focused efforts to address population-level health challenges
- Encourage collaborative efforts to extend HIE services and interoperable health IT tools
- Support ongoing efforts to realize better care, smarter spending, and healthier people

Snapshot of Available FOAs

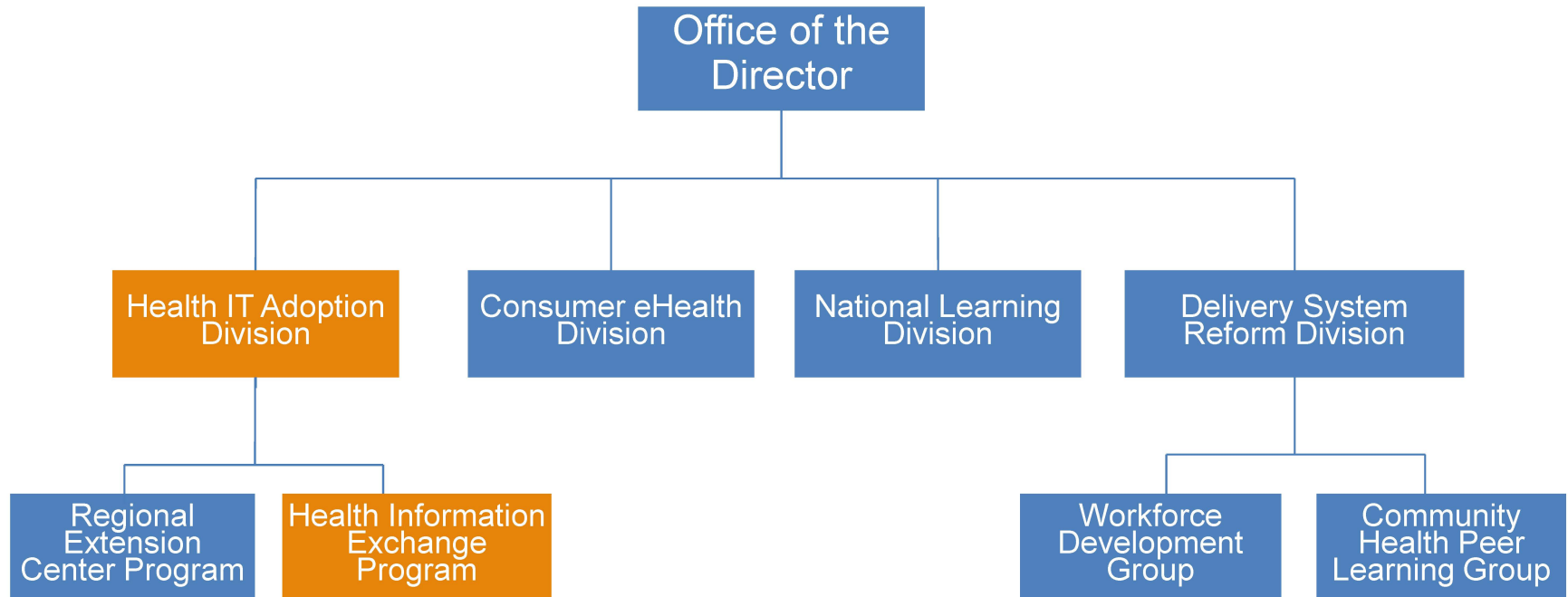


Grant	Funding	Awards	Performance Period
Health Information Exchange	\$28M	10-12	2 years
<i>Goal: Leverage the successes from the previous State HIE program projects to increase the adoption and use of interoperable health IT and services to improve care coordination.</i>			
Community Health Peer Learning Program	\$1.7M	1	2 years
<i>Goal: Address population health care challenges through collaborative community efforts to effectively use health IT and identify new models of care</i>			
Workforce Training to Educate Health Care Professionals in Health IT	\$6.4M	5-7	2 years
<i>Goal: Update health IT training curriculum and train 6,000 healthcare workers so they can more effectively deliver and coordinate patient care</i>			

ONC Organizational Chart



Office of Programs & Engagement Organizational Chart



IX-IX-15-001

ADVANCE INTEROPERABLE HEALTH INFORMATION TECHNOLOGY SERVICES TO SUPPORT HEALTH INFORMATION EXCHANGE – PROGRAM OVERVIEW

- ONC-funded programs have helped move the nation towards a more standardized, interoperable health IT infrastructure
- Enhanced exchange of data within and between states has improved care coordination
- Ongoing expansion of this health IT infrastructure is crucial to enhancing care coordination and achieving better care, smarter spending, and healthier people

- Advance the standardized, secure, and interoperable movement of health information across organizations, vendors, and geographic boundaries
- Leverage lessons learned from the previous State HIE Program to enable nationwide health information exchange across the care continuum and improve care coordination and transitions of care
- Through the expansion and use of operational health information exchange infrastructure, grantees will seek to address workflow challenges and technical issues and improve the meaningful use of clinical data from external sources

Advance Interoperable Health IT: Goals and Objectives for Grantees



- Provide technical assistance, training, education, exchange services, and resources to enable care providers to send, receive, find, and use health information in a manner that is appropriate, secure, timely, and reliable for both senders and receivers
- Expand the adoption of health information exchange technology, tools, services, and policies to facilitate interoperable exchange
- Provide hands-on technical assistance and workflow redesign support to incorporate the use of data from external sources into daily clinical and non-clinical workflows and interactions with patients.
- Increase the integration of health information in interoperable health IT to support care processes and decision-making (e.g. filtering, subscription, alerting) that will improve health and health care

- **Funded projects should:**
 - Focus on target populations that include CMS EHR incentive program eligible providers, critical access/rural hospitals, long-term and post-acute care, behavioral health, individuals, and “other” settings and care providers
 - Provide training, education, and technical assistance to enhance the use of interoperable health IT to improve care coordination.
 - Accelerate the widespread adoption and use of health information exchange infrastructure, including the following transport mechanisms, Direct secure messaging, query-based exchange, and/or consumer mediated exchange
 - Use inter- and intrastate partnerships to increase the exchange of health information, improve care coordination, and promote a learning health system
 - Participate in communities of practices, workgroups, and peer learning health collaboratives to identify best practices and address challenges in executing program requirements

Advance Interoperable Health Information Technology Services to
Support Health Information Exchange Program

IX-IX-15-001

FUNDING, ELIGIBILITY, AND APPLICATION INFORMATION

Advance Interoperable Health IT: Summary of Key Details



Type of Award	Cooperative Agreement
Available Funding	\$28,000,000
Number of Awards	10-12
Application Due Date	4/6/2015
Anticipated Award Date	6/12/2015
Performance Period	2 years
Anticipated Start Date:	6/12/2015

Advance Interoperable Health IT: Notice of Intent



- Applicants are encouraged to submit a non-binding e-mail notice of intent to apply for this funding opportunity
- Submit by 3/2/2015, 11:59 P.M. Eastern Time
- Identify
 - Name of applicant organization
 - City and state
 - Funding Opportunity Announcement number IX-IX-15-001
 - Title: Advance Interoperable Health Information Technology Services to Support Health Information Exchange
- Send to: HealthInformationExchangeFOA@hhs.gov

Advance Interoperable Health IT: Eligibility (1)

- Eligible applicants will be States (including territories), or their non-profit state designated entities (SDE) who may apply as designated by the State
- Either a state or an SDE may apply for cooperative agreements under this program
- Multi-state or regional partnership efforts may apply; however one state or SDE must act as the primary grantee
- States may also designate new entities other than previous SDEs identified during the previous State HIE program as this announcement will not be limited to previous SDE's nor are former SDE's prohibited from applying

Any entity applying for a cooperative agreement must satisfy the following criteria:

- Be either a component of state government, or a not-for-profit entity.
- Be designated by the state through a letter from the Governor. For multi-state applications, a letter from the Governor (or equivalent) designating the partnering state or SDE must be received on behalf of each participating state
- Demonstrate the program includes a multidisciplinary board or commission in an advisory or governing capacity with broad stakeholder representation that: 1) represents a public/private partnership; and 2) represents state and local needs.
- Demonstrate that one principal goal is to use information technology to improve health care quality and efficiency through the authorized and secure electronic exchange and use of health information
- Certify that the applicant has adopted nondiscrimination and conflict of interest policies that demonstrate a commitment to transparent, fair, and nondiscriminatory participation by stakeholders.

- The HITECH Act requires a match to federal monies awarded to states for the duration of the project period of performance
- Applicant's match requirement for this program will be \$1 for every \$3 federal dollars
- In preparing the application budget, applicants should consider these cost-sharing requirements and account for a match based on their best estimate of expenditures for each period

Advance Interoperable Health IT: Application Requirements

- Applicants must
 - Access the electronic application for this program via <http://www.grants.gov>. Search the downloadable application page by the Funding Opportunity Number IX-IX-15-001 or by the CFDA number (93.719).
 - Submit all material electronically through grants.gov – this process is outlined in the FOA
 - For assistance with submitting applications in [Grants.gov](http://www.grants.gov), please contact the [Grants.gov](http://www.grants.gov) Helpdesk at support@grants.gov or call 1-800-518-4726
 - Have or obtain a Dun & Bradstreet (D&B) Universal Numbering System (DUNS) number
 - Register in the System for Award Management (SAM) at www.sam.gov – allow a minimum of 5 days to complete the registration – if you are already registered in SAM and have not renewed your registration in the last 12 months, you must renew your registration
 - Ensure the application meets application criteria and page limits

Advance Interoperable Health IT: Application Components



Component	Limit
Project Abstract	<500 words
Project Narrative	20 pages
• Problem Statement	<1 page
• Targeted Population Areas	2-3 pages
• Proposed Approach	5-6 pages
• Collaborator Involvement	1-2 pages
• Project Management	1-2 pages
• Work Plan	1-2 pages
• Process for Dissemination of Learning Resources	1-2 pages
• Budget Justification	<1 page

Advance Interoperable Health IT: Project Narrative – Problem Statement



- The application will include a narrative on the approach to using the funds to increase adoption, interoperable exchange and use, and the integration of data by care providers to meet the criteria described under the Purpose and Scope of Services section
- The narrative must provide the reader with an understanding of who the applicant intends to work with and how the applicant will support CMS EHR incentive program eligible and non-eligible care providers and individuals with the technical assistance necessary to align with the three key milestone areas identified in the FOA

- Applicants should propose to support at least two non-eligible caregivers for their target population and at least one eligible caregiver
- Describe which of the clinical and non-clinical caregiver populations this project will address
- Discuss your subject matter expertise, current experience with technical assistance support, and current provision for these target populations
- Include detailed information on baseline adoption numbers, use/exchange transactions, queries, or other relevant information that illustrates the applicant's current exchange environment

Advance Interoperable Health IT: Project Narrative – Proposed Approach (1)



- Describe your approach to facilitate widespread adoption, use, and/or enabling of interoperable health IT tools and services to increase health information exchange in support of federal, state, and/or community reform efforts
- Address the challenges described in the “Problem Statement”
- Provide a detailed development plan that describes future technical assistance support, marketing, outreach, enrollment, evaluation, and workflow efforts
- Discuss how your development plan aligns with ONC’s 10 Year Vision Paper
- Describe the current exchange mechanisms, exchange infrastructure, and standards that exists in your service area

Advance Interoperable Health IT: Project Narrative – Proposed Approach (2)



- Address workflow challenges and apply notification or subscription services with sophisticated clinical decision support or utilize data integration tools that route, filter, and highlight information in increasingly meaningful ways
- Demonstrate that provider organizations are integrating applicable/relevant information they have received from external sources into their electronic health records (EHRs) (as appropriate)
- Describe how you plan to report on the milestone measures; document your capabilities to conduct this reporting

- **Adoption-Milestone 1:** For example, identifying your current adoption and exchange participation baseline and the marketing, outreach, education, and technical assistance you will provide so that your net # of new participants will enroll or subscribe to health information technology, tools, and services and once enrolled in your services, the new exchange participant has logged in at least once.
- **Exchange of Health Information- Milestone 2:** For example, working with MU eligibles to increase the rates of access and exchange transactions in order to meet the Stage 2 MU TOC Measure 2 Criteria or working with your target population to ensure that for 50% of all care transitions (e.g. discharges, referral), technical assistance support is provided to promote the sharing of a summary of care record with the patient or is sent to an end point that the patient designates.
- **Interoperability-Milestone 3:** For example, grantees will increase the % rates of integrating health information from external sources into the EHRs or Patient Health Records (PHRs) of the target population. For example, grantees may set % thresholds for the proportion of TOC summary of care records received that are integrated within the EHR of a target population participant. In this example, the denominator = the # of patient visits during a specified timeframe and the numerator would = the # of summary of care records that are integrated into the EHR. The Milestone payment would be set at the agreed upon %.

- Describe the role and makeup of any strategic collaborators
 - Interstate and intrastate partnerships
 - Regional partnerships
 - Health IT community partners
 - State Health IT Coordinators
 - State Innovation Model grantees
 - Current and former HITECH grantees
 - Medical professional societies
 - Public health and social services
 - EMS
 - Federal partners
 - State level health and human services systems

Advance Interoperable Health IT: Project Narrative – Project Management



- Delineate the roles and responsibilities of project staff, consultants, and collaborating organizations
- Specify who has day-to-day responsibility for key tasks, including leadership, task monitoring, outreach efforts around recruitment, education, enrollment, technical assistance provision, adoption, and workflow redesign
- Demonstrate the current and potential types of reporting or tracking mechanisms necessary to report clinical and/or process improvements
- Additional requirements based on specific types of stakeholders involved in the project are included in the FOA

- The Project Work Plan should:
 - Reflect the project narrative and budget
 - Cover both years of the project period
 - Identify important milestones and each major task or action step needed to reach those milestones
 - Identify timeframes, start- and end-dates, resources, and key milestones

- Present the approach to rapidly disseminate scalable best practices
- Document the types of learning activities planned, such as learning collaboratives, webinars, partnership development, mentoring, and communities of practices
- Applicants should partner with ONC and participate in ONC-led workgroups, communities of practice, and other peer learning collaboratives, and partner with ONC to disseminate best practices and lessons learned

- Complete the following budget forms to document costs of proposed project activities
 - Application for Federal Assistance SF-424
 - Budget Information for Non-Construction Programs SF-424A
 - Assurances for Non-Construction Programs SF-424B
 - Disclosure of Lobbying Activities SF-LLL
- Detailed budget instructions provided in FOA

- Provide a detailed budget that includes all project costs
- Explain how the proposed budget supports the program, will meet the program's needs, and is cost-efficient
- Describe how proposed costs support program activities
- Discuss how proposed expenditures align with the work plan

Advance Interoperable Health Information Technology Services to
Support Health Information Exchange Program

IX-IX-15-001

APPLICATION RESPONSIVENESS AND OBJECTIVE REVIEW

Advance Interoperable Health IT: Application Review Process



- Submit all application materials electronically through grants.gov
- Grants.gov issues an email receipt upon successful submission
- ONC issues receipt upon successfully obtaining files from grants.gov
- Applications are reviewed for responsiveness and categorized as pass/fail
- All applications that pass the review for responsiveness are forwarded for objective review
- Once objective review is complete, ONC makes award

Applicants not meeting the following completeness criteria will be administratively eliminated and not sent forward for objective review:

- The applicant meets the eligibility criteria
- The application is received by the deadline: 11:59 PM Eastern Time, April 6, 2015 through <http://www.grants.gov>
- The application meets the formatting and length requirements
- The application defines a core set of community-level population health management challenges and topics that the applicant understands
- Appendices and attachments are not used as a mechanism to exceed page limits of the Project Narrative

- Objective review conducted by a panel of at least 3 experts in areas relevant to this Program
- Applications can receive a maximum of 100 points, using the following scale:

Objective Review Criteria	Points
Understanding of the Project Purpose	10
Approach, Work Plan, and Activities	30
Applicant Capabilities	30
Process of Dissemination of Learning Resources	15
Budget/Narrative Justification	15

- Thank you for attending!
- We will remain online for 5 minutes – please submit any remaining questions via the web conferencing system
- Any questions not already addressed will be added to the FAQ
- For more information on this FOA, go to <http://healthit.gov/newsroom/advance-interoperable-health-information-technology-services-support-health-information>
- To see the FOA on grants.gov or to apply, go to <http://www.grants.gov/web/grants/search-grants.html?keywords=IX-IX-15-001>
- For assistance with submitting applications in [Grants.gov](http://www.grants.gov), please contact the [Grants.gov](http://www.grants.gov) Helpdesk at support@grants.gov or call at 1-800-518-4726

Please submit your questions

- 1) Email a question to HealthInformationExchangeFOA@hhs.gov
- 2) Submit a question in the “Questions” box in the control panel
- 3) If you are unable to type a question, press the “Raise Your Hand” button in the control panel to have your line unmuted so that you can verbally identify yourself or ask your question

Thank You



Please submit your questions

5 Minutes Remaining

- Please submit your questions

3 Minutes Remaining

- Please submit your questions

2 Minutes Remaining

- Please submit your questions

1 Minute Remaining

- Please submit your questions

30 Seconds Remaining

**The moderator will now
end this session**

Thank You for Attending

