



The Office of the National Coordinator for
Health Information Technology



Community Interoperability and Health Information Exchange Cooperative Agreement Program

Informational Session

May 6, 2015
3:00-4:00 PM EST



HealthIT.gov

- Introductory Remarks
- ONC Grant Funding Overview
- Community Interoperability and Health Information Exchange Cooperative Agreement Program Overview
- Funding, Eligibility, and Application Information
- Application Review Process

INTRODUCTORY REMARKS

- Provide information on the Funding Opportunity Announcement for the Community Interoperability and Health Information Exchange Cooperative Agreement Program (grant #: II-II-15-002)
- Describe the background and purpose of this funding opportunity announcement
- Explain the application and review processes
- Gather questions from interested organizations and individuals for subsequent response via the Frequently Asked Questions (FAQ) on ONC's website
- Provide links to additional information

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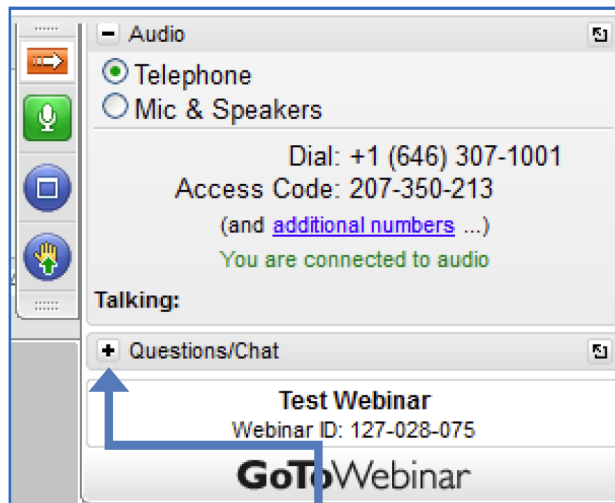
- This teleconference is being recorded, if you object please disconnect now
- Teleconference slides and recording will be available after the teleconference at <http://healthit.gov/newsroom/community-interoperability-and-health-information-exchange-cooperative-agreement-program>
- Please submit questions during the presentation. Any question not addressed in the FOA or the FAQs will be collected and evaluated, and the FAQs will be updated as needed
- The FAQs can be viewed at <http://healthit.gov/newsroom/community-interoperability-and-health-information-exchange-cooperative-agreement-program>

How to Ask a Question



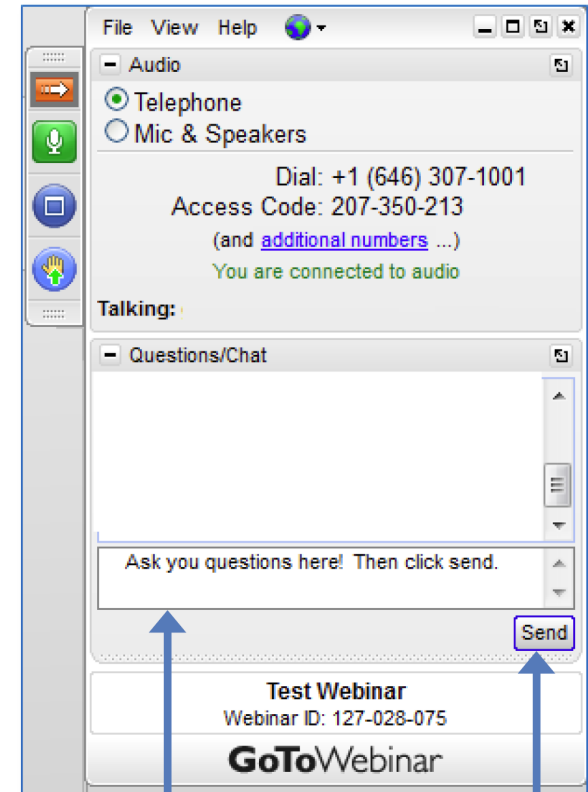
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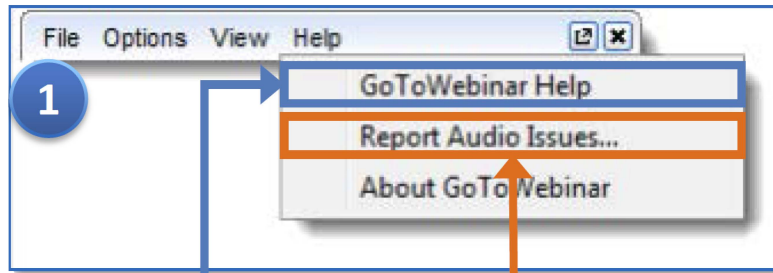


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Type your question and click **Send**

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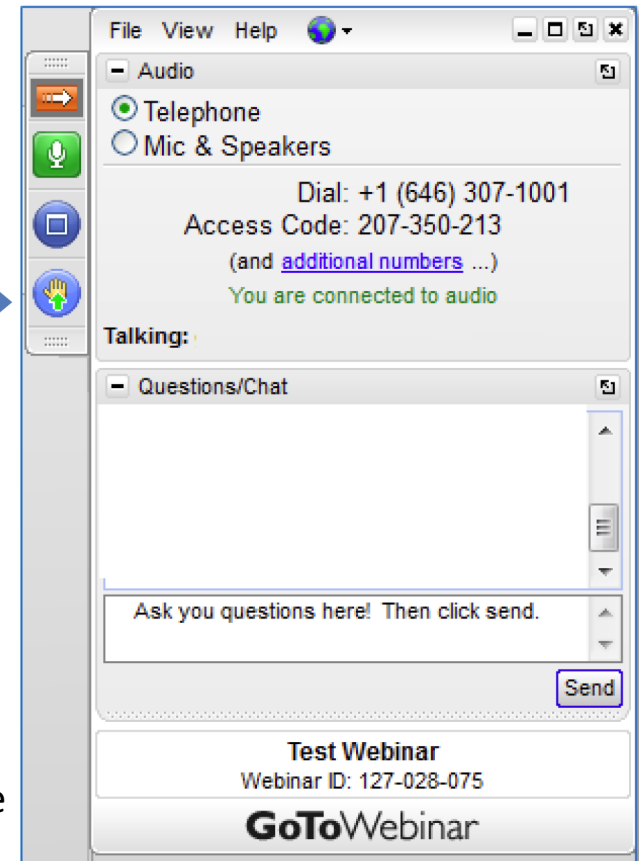


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identify yourself and state
your question



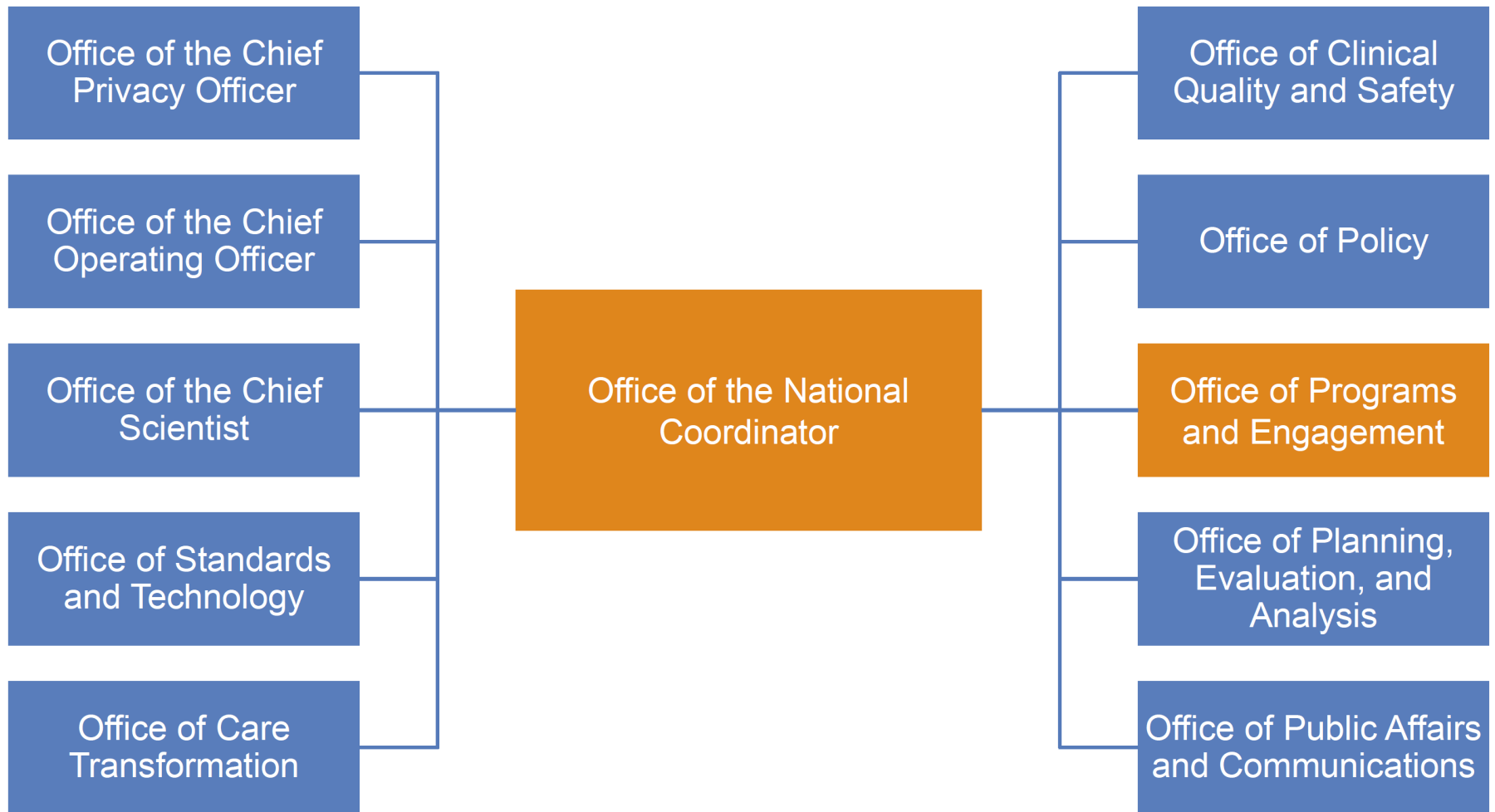
ONC GRANT FUNDING – OVERVIEW

- \$1M total available through this funding opportunity
- Promote health IT interoperability and HIE among non-eligible care providers at the community level
- Accelerate the adoption of health IT information exchange
- Encourage collaborative efforts to extend HIE services and interoperable health IT tools
- Support ongoing efforts —especially through the previously released ONC FOAs—to realize better health, better care, and lower costs

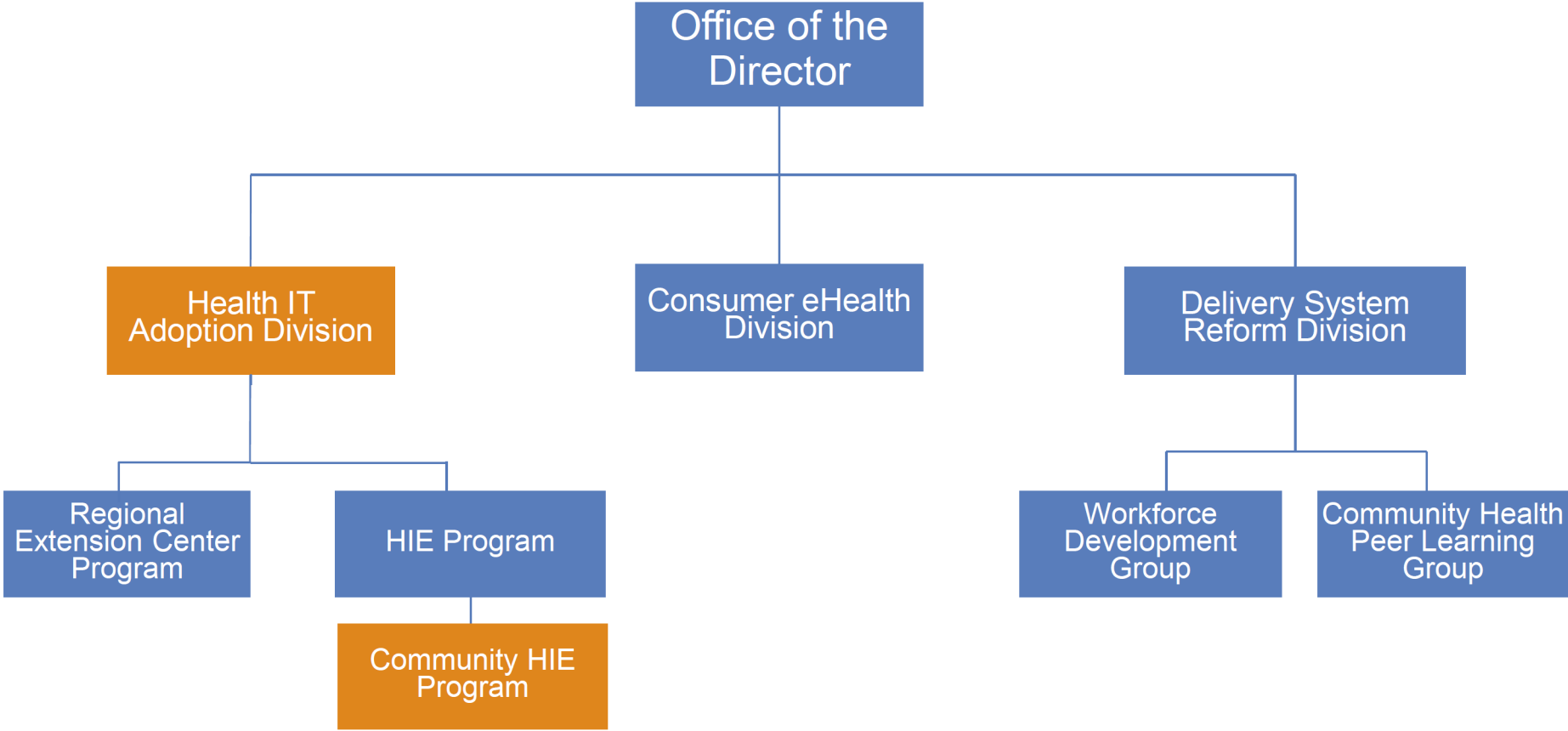
Snapshot of Available FOAs

Grant	Funding	Awards	Performance Period
Community Health Information Exchange	\$1.0M	Up to 10	1 year
<p><i>Goal: Inspire communities to work collaboratively with non-eligible care providers to identify opportunities to support and extend the use of secure, interoperable health IT tools and HIE services that will eventually lead to enabling of individual and community health improvement through collective impact.</i></p>			
Additional Recent Funding Opportunities (currently closed)			
Community Health Peer Learning Program	\$1.7M	1	2 years
State Health Information Exchange	\$28M	10-12	2 years
Workforce Training to Educate Health Care Professionals in Health IT	\$6.4M	5-7	2 years

ONC Organizational Chart



Office of Programs- Organizational Chart



II-II-15-002

COMMUNITY INTEROPERABILITY AND HEALTH INFORMATION EXCHANGE COOPERATIVE AGREEMENT PROGRAM

- ONC-funded programs have moved the nation towards a more standardized, interoperable health IT infrastructure
- Use of HIE services continues to increase and further the goals of improving health, health care, and reducing costs
- Ongoing expansion of health IT to all providers in the health ecosystem is crucial to enhance care coordination, achieve better care, create smarter spending, and promote healthier people

- Community entities will work with non-eligible care providers to send, receive, find and use HIE services and health IT tools to move towards a learning health system
- Funded projects will target communities to increase HIE adoption and use among non-eligible care providers by:
 - Inspiring communities to work collaboratively
 - Supporting and extending the use of secure, interoperable health IT tools and HIE services
 - Fostering individual and community health improvement through collective impact

- In this FOA non-eligible care providers are defined as:
 - Those providers not eligible for the Centers for Medicare & Medicaid Services' (CMS) Electronic Health Record (EHR) Incentive Programs (EHR Incentive Programs), including long-term and post-acute care (LTPAC) providers, behavioral health providers, individuals (includes care providers and others including family members authorized to act on the patient's behalf) and other care settings and care providers (e.g., safety net providers, public health, social services, emergency medical services) or other recognized stakeholders that applicants are encouraged to engage.

Proposed projects should address the project theme:

- to support non-eligible care providers to send, receive, find and use electronic health information in a manner that is appropriate, secure, timely and reliable for both senders and receivers.

Proposed projects should support the theme using the following three approaches:

1. Target a specific non-eligible care provider population—those who will ultimately use the HIE service or tool
2. Extend an existing HIE service or use case to the population
3. Engage in strategies to increase their use

Community Interoperability and Health Information Exchange
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FUNDING, ELIGIBILITY, AND APPLICATION INFORMATION

Community HIE: Summary of Key Details

Type of Award	Cooperative Agreement
Available Funding	\$1,000,000
Number of Awards	Up to 10
Award Floor	\$50,000
Award Ceiling	\$100,000
Application Due Date	6/15/2015
Anticipated Award Date	8/14/2015
Performance Period	1 year
Anticipated Start Date	8/14/2015

- Applicants are encouraged to submit a non-binding e-mail notice of intent to apply for this funding opportunity
- Submit by **May 15, 2015, 11:59 PM** Eastern Time
- The notice of intent should identify:
 - Name of applicant organization
 - City and state
 - Broad statement of how the project theme will be addressed
 - Funding Opportunity Announcement number II-II-15-002
 - Title: Community Interoperability and Health Information Exchange Cooperative Agreement Program
- Send to: HIECommunityFOA@hhs.gov

Community HIE: Eligibility & Application Requirements



- Eligibility
 - Applicants must be a United States-based non-profit institution or organization, state or local government, agency, or group in a designated community
- Applicants Must
 - Submit all material electronically through grants.gov – this process is outlined in the FOA. For assistance with submitting applications in Grants.gov, please contact the Grants.gov Helpdesk at support@grants.gov or call 1-800-518-4726
 - Have a Dun & Bradstreet (D&B) Universal Numbering System (DUNS) number
 - Register in the System for Award Management (SAM) at www.sam.gov – allow a minimum of 5 days to complete the registration – if you are already registered in SAM and have not renewed your registration in the last 12 months, you must renew your registration
 - Ensure the application meets application requirements and page limit

Community HIE: Application Components

Component	Limit
Project Abstract	<500 words
Budget Forms	NA
<ul style="list-style-type: none"> • Application for Federal Assistance (SF-424) • Budget Information for Non-Construction Programs (SF-424A) • Assurance for Non-Construction Programs (SF-424B) • Disclosure of Lobbying Activities (SF-LLL) 	
Project Narrative	5 pages (single spaced)
<ul style="list-style-type: none"> • Section 1: Purpose, Approach, Project Work Plan, and Activities • Section 2: Organizational and Technical Capabilities • Section 3: General Funding Requirements • Section 4: Budget, Level of Effort, Justification • Section 5: Replicability of Proposed Project 	

- Provide a high-level summary of the project that is clear, accurate, and concise
- Describe the proposed project, including:
 - Project goals & objectives
 - Project theme addressed
 - Overall approach, including target population and significant partnerships
 - Anticipated outcomes
 - Products
 - Duration
- Maximum length of 500 words

- Complete the following budget forms that specify the costs associated with the proposed project activities:
 - Application for Federal Assistance SF-424
 - Budget Information for Non-Construction Programs SF-424A
 - Assurances for Non-Construction Programs SF-424B
 - Disclosure of Lobbying Activities SF-LLL
- Forms must be submitted through grants.gov as part of the application package

- Provide a clear and concise project description, including how you will:
 - Extend an existing HIE service or use case to a specific non-eligible care provider population, including the specific technology solutions to address those needs
 - Implement the engagement strategy with the non-eligible care provider population—including onboarding, technical assistance, and/or training
 - Contribute towards achievement of a learning health system, as described in the draft Roadmap, in their community and how the project will ensure continuous learning, innovation and quality improvement
 - Share results with other communities, states and territories
 - Build processes necessary to track and improve individual and community health
- Letters of support, participant lists, and resumes do not count toward the 5 page limit

Project Narrative – Section 1: Purpose, Approach, Project Work Plan, and Activities



- Discuss your understanding of the project theme and explain why it is a priority for the community and how the project could provide a potential scalable solution to other communities, regions, states or territories.
- Describe the specific geographic area and specific non-eligible care provider population targeted, including the estimated number of target participants.
- Provide a clear and concise description of your approach to address the three areas of the project theme and how to conduct the project, including identifying the major challenges. This should be outlined in a conceptual “logic model.”
- Describe how the project will contribute to building a learning health system within the community and the potential improved health outcomes.

- Provide a project plan that:
 - Builds upon the logic model and specifies the technology, care delivery, and policy interventions that will be initiated to achieve desired outcomes
 - Shows the relationship of each plan element to each of the challenges
 - Includes baseline data for adoption and use, timelines, resources, partners, and data outcomes
 - Aligns with the budget
- Describe the project success criteria and how you will evaluate outcomes.
- Address how you will build processes necessary to track and improve individual and community health.

Project Narrative – Section 2: Organizational and Technical Capabilities



- Show your organization’s capabilities relevant to the project theme, partners, and collaborative relationships with non-eligible care providers that will support the project’s success.
- Discuss the standards the project will use and what infrastructure/technology is “live” and used by other participants.
- Demonstrate support from key program partners.
- Document potential strategies you may employ to sustain and extend adoption and use of interoperable health IT and HIE service and tool offerings beyond the project timeframe.
- Document relevant organizational, community, state or federal resources available to perform the project.

Project Narrative – Section 2: Organizational and Technical Capabilities (continued)



- Describe how the project will complement, build upon or leverage existing health IT infrastructure and coordinate with, not duplicate, existing efforts occurring through other state and federal partners.
- Describe qualified key staff, including a project manager and a staff person with grants and financial expertise; resumes may be included as attachments and will not count towards the five (5) page maximum for the project narrative.
- Demonstrate the applicant's capabilities that are not included in other portions of the project narrative, such as any current or previous relevant experience and/or the record of the project team in conducting the proposed activities.

- Discuss how you will use an open, transparent process to engage stakeholders, develop the project plan and share implementation experiences with peers as the project develops.
- Discuss how you will use, as relevant and where applicable, EHR technology standards and implementation specifications adopted by HHS or another federal agency and any other standards and implementation specifications identified by ONC.
- Describe how you will enable and support non-eligible care providers to send, receive, find and use a common clinical data set (that aligns with national standards) across unaffiliated organizations to improve care coordination and promote a learning environment.

Project Narrative – Section 4: Budget, Level of Effort, and Justification



- Document the proposed levels of effort for the project manager, key personnel, and consultants and describe how they are adequate to advance the project in accordance with the timelines.
- Justify the proposed budget with respect to the adequacy and reasonableness of resources requested, and how the amount of the budget allocated to administration will be minimized while still allowing coherent management of an integrated project. The budget and justification must reflect the costs for the entire project period.
- Explain how the budget supports the project, how it is reasonable to meet the project's needs, and how it is cost-efficient.
- Provide an outline of all proposed costs.
- Describe how expenditures align with the project plan.

Project Narrative – Section 5: Replicability of Proposed Project

- Describe the ways in which the project could be broadly applicable to and/or replicable in similar communities as well as other communities, states and/or territories.
- Explain how lessons learned, challenges, successes, outcomes will be shared with local, state and national stakeholders.
- Describe how you will make solutions (i.e., technology, process, or infrastructure) openly available and reusable by others.

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APPLICATION REVIEW PROCESS

Community HIE: Application Review Process



- Submit all application materials electronically through grants.gov
- Grants.gov issues an email receipt upon successful submission
- ONC issues receipt upon successfully obtaining files from grants.gov
- Applications are reviewed for responsiveness and completeness and categorized as pass/fail
- All applications that pass the review for responsiveness and completeness are forward for objective review
- Once objective review is complete, ONC may make award
- ONC is not obligated to make an award if none of the applications meet the intent of program requirements or if funding levels or availability changes

Applicants not meeting the following responsiveness and completeness criteria will be administratively eliminated and not sent for objective review:

- The application is received by the deadline required: **11:59 P.M. Eastern Time June 15, 2015** through <http://www.grants.gov>
- The applicant meets the eligibility criteria
- The applicant clearly addresses the project theme
- The applicant includes required components (Section IV.B.) including all elements of the Project Narrative
- The application meets the formatting and length requirements
- The applicant includes specification of the geographic area and target population of the project
- Appendices and attachments are not used as a mechanism to exceed page limits of the Project Narrative

- Applications that meet the Responsive and Completeness criteria are forwarded for objective review
- Objective review will be conducted by a panel of at least 3 experts in areas relevant to the Community HIE Program
- Applications can receive a maximum of 100 points, using the following scale:

Objective Review Criteria	Points
Purpose, Approach, Work Plan, and Activities	35
Organizational and Technical Capacity	30
General Funding Requirements	10
Reasonableness of Budget, Levels of Effort, and Justification	10
Replicability of Proposed Project	15

- Thank you for attending!
- We will remain online for 5 minutes – please submit any remaining questions via the web conferencing system
- Any questions not already addressed will be added to the FAQ
- For the FAQ and additional information on this FOA, go to <http://www.healthit.gov/newsroom/community-interoperability-and-health-information-exchange-cooperative-agreement-program>
- To see the FOA on grants.gov or to apply, go to <http://www.grants.gov/web/grants/search-grants.html?keywords=community%20health%20information%20exchange>
- For assistance with submitting applications in [Grants.gov](http://www.grants.gov), please contact the [Grants.gov](http://www.grants.gov) Helpdesk at support@grants.gov or call at 1-800-518-4726

- Please submit your questions

For the FAQ and additional information on this FOA, go to <http://www.healthit.gov/newsroom/community-interopability-and-health-information-exchange-cooperative-agreement-program>

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5 Minutes Remaining

- Please submit your questions

For the FAQ and additional information on this FOA, go to <http://www.healthit.gov/newsroom/community-interoperability-and-health-information-exchange-cooperative-agreement-program>

3 Minutes Remaining

- Please submit your questions

For the FAQ and additional information on this FOA, go to <http://www.healthit.gov/newsroom/community-interopability-and-health-information-exchange-cooperative-agreement-program>

1 Minute Remaining

- Please submit your questions

For the FAQ and additional information on this FOA, go to <http://www.healthit.gov/newsroom/community-interoperability-and-health-information-exchange-cooperative-agreement-program>

30 Seconds Remaining

**The moderator will now
end this session**

Send any additional questions to:

HICommunityFOA@hhs.gov

Thank You for Attending

