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CMS Alliance to Modernize Healthcare Federally Funded Research and Development Center



An Open Source Electronic Clinical Quality Measure Testing and Certification Tool

**Cypress User Guide** 

Version 3.3

December 19, 2017

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# **Record of Changes**

Version	Date	Author / Owner	Description of Change
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3.2	August 23, 2017	CAMH	Version 3.2 for release
3.3	December 19, 2017	САМН	Version 3. 3 for release

## About the CMS Alliance to Modernize Healthcare

The Centers for Medicare & Medicaid Services (CMS) sponsors the CMS Alliance to Modernize Healthcare (CAMH), the first Federally Funded Research and Development Center (FFRDC) dedicated to strengthening our nation's healthcare system.

The CAMH FFRDC enables CMS, the Department of Health and Human Services (HHS), and other government entities to access unbiased research, advice, guidance, and analysis to solve complex business, policy, technology, and operational challenges in health mission areas. The FFRDC objectively analyzes long-term health system problems, addresses complex technical questions, and generates creative and cost-effective solutions in strategic areas such as quality of care, new payment models, and business transformation.

Formally established under Federal Acquisition Regulation (FAR) Part 35.017, FFRDCs meet special, long-term research and development needs integral to the mission of the sponsoring agency—work that existing in-house or commercial contractor resources cannot fulfill as effectively. FFRDCs operate in the public interest, free from conflicts of interest, and are managed and/or administered by not-for-profit organizations, universities, or industrial firms as separate operating units.

The CAMH FFRDC applies a combination of large-scale enterprise systems engineering and specialized health subject matter expertise to achieve the strategic objectives of CMS, HHS, and other government organizations charged with health-related missions. As a trusted, not-for-profit adviser, the CAMH FFRDC has access, beyond what is allowed in normal contractual relationships, to government and supplier data, including sensitive and proprietary data, and to employees and government facilities and equipment that support health missions.

CMS conducted a competitive acquisition in 2012 and awarded the CAMH FFRDC contract to The MITRE Corporation (MITRE). MITRE operates the CAMH FFRDC in partnership with CMS and HHS, and maintains a collaborative alliance of partners from nonprofits, academia, and industry. This alliance provides specialized expertise, health capabilities, and innovative solutions to transform delivery of the nation's healthcare services. Government organizations and other entities have ready access to this network of partners, including RAND Health, the Brookings Institution, and other leading healthcare organizations. This includes select qualified small and disadvantaged business.

The FFRDC is open to all CMS and HHS Operating Divisions and Staff Divisions. In addition, government entities outside of CMS and HHS can use the FFRDC with permission of CMS, CAMH's primary sponsor.

# Table of Contents

1.	Intro	oduction	.1
2.	Сур	ress Testing Capabilities	. 2
	2.1	Capture/Record and Export	.2
	2.2	Import and Calculate	
	2.3	Electronic Submission	
	2.4	Data Filtering	
3.	Deta	iled Description of Cypress Workflow	6
	3.1	Accessing Cypress	.7
	3.2	Overview of Administrative Functions	.9
		3.2.1 Master Patient List	.9
		3.2.2 Account	11
		3.2.3 Log Out	11
		3.2.4 Add Vendor	11
		3.2.5 Edit Vendor	12
	3.3	Cypress v3.2 Preparation for Testing	13
	3.4	Test Execution	19
		3.4.1 Automated Measure Test Execution	19
		3.4.2 Record Sample Test	25
		3.4.3 CQM Filtering Test	28
	3.5	Test Execution Success Criteria	30
	3.6	Submit	31
	3.7	Verify	32
Ac	rony	ms3	33

# List of Figures

Figure 1. Capture/Record and Export	3
Figure 2. Import, Calculate, and Electronic Submission	4
Figure 3. Cypress Workflow in an Authorized Testing Lab	6
Figure 4. Cypress Sign Up Page	7
Figure 5. Cypress Terms and Conditions Page	8
Figure 6. Cypress Account Creation	8
Figure 7. Cypress Dashboard	9
Figure 8. Master Patient List of Test Patients	9
Figure 9. Patients Specific to CMS2v5 Preventive Care and Screening: Screening for Clinical Depression and Follow-Up Plan Patients	10
Figure 10. Patient Test Record Data Requirements and eCQM Qualifiers	10
Figure 11. Account Password Update	11
Figure 12. Cypress v3.2 Log Out	11
Figure 13. Add Vendor Page	12
Figure 14. Edit Vendor Page	12
Figure 15. Dashboard Select Add Vendor	13
Figure 16. Add Vendor Page	13
Figure 17. Dashboard with New Vendor Created	14
Figure 18. Vendor Product Information Page	14
Figure 19. Vendor Add Product Page	15
Figure 20. Eligible Hospital eCQMs Measure Selection	16
Figure 21. Vendor Add Product, "Custom" Measures Option	17
Figure 22. Vendor Add Product Page, Select "Add Product"	18
Figure 23. Dashboard Displaying a Vendor with Two Products Added	18
Figure 24. C1-C2 Automated Entry Certification	20
Figure 25. Add Product for Automated Entry of Measure Data	20
Figure 26. Selecting a Product to Test	21
Figure 27. Showing C1 Record Sample, C1 Automated, and C2 Product Measures	22
Figure 28. Product Measure Upload or Measure Name Selection	22
Figure 29. Individual Measure Test Page	23
Figure 30. Zip File of Patient Test Data for Selected eCQM	23

Figure 31. Listing of Zip File of XML Patient Test Data for Testing Selected eCQM	24
Figure 32. Patient XML File for C2 Test	24
Figure 33. Upload Test Execution Results	25
Figure 34. Example of Test Execution Summary Results	25
Figure 35. Record Sample Test, Select "View Record Sample"	26
Figure 36. Record Sample Checklist Page	26
Figure 37. View Listing of Valid Codes	27
Figure 38. Search for Valid Codes	27
Figure 39. Complete and Save a Test	28
Figure 40. Select File to Upload	28
Figure 41. Select a Category I or Category III to Test	29
Figure 42. Category I Test Page for Median Time from ED Arrival to ED Departure for Discharged ED Patients	29
Figure 43. Measure Test Failure Messages	31

## 1. Introduction

Cypress v3.2 is the rigorous open source testing tool of Electronic Health Records (EHR) and EHR modules used in calculating electronic Clinical Quality Measures (eCQM). Cypress v3.2 is the official testing tool for the 2014 and 2015 EHR Certification program supported by the Office of the National Coordinator for Health Information Technology (ONC). The 2015 Edition final rule, published by the ONC, updates the ONC Health Information Technology (IT) Certification Program and includes certification criteria to support repeatable electronic clinical quality measurement and reporting across the healthcare ecosystem. The 2015 Edition eCQM certification criteria also support the requirements of the Medicare and Medicaid EHR Incentive Programs and other Centers for Medicare & Medicaid Services (CMS) program requirements.

ONC and CMS developed the Cypress v3.2 User Guide for test proctors who test and certify EHR systems at Authorized Testing Labs (ATL). EHR software engineers, quality assurance engineers, and production release engineers may draw on the guide when they use Cypress in a pre-testing mode to understand how their EHR product will perform in an ATL-conducted operational test.

This guide provides instructions on how to use the Cypress v3.2 tool (it is assumed the user has downloaded the software). It addresses the requirements and steps for testing EHR technology for calculating and reporting eCQMs.

The Cypress tool is freely available for use or adoption by the health IT community, including EHR vendors and testing labs. The open source project website at <u>Project Cypress</u> provides information detailing the set up and configuration of Cypress v3.2 software.

# 2. Cypress Testing Capabilities

To achieve certification pursuant to the most recent 2015 standards, each EHR must show that it meets four criteria by demonstrating required capabilities specified in the regulation and described in the following subsections. The Cypress v3.2 software verifies the four required eCQM capabilities for EHR certification (Capture/Record and Export, Import and Calculate, Electronic Submission, and Data Filtering), as described in the following sections.

## 2.1 Capture/Record and Export

Cypress v3.2 supports the requirements for Capture/Record and Export capabilities as follows:

- **Capture/Record** To be certified in accordance with 45 CFR §170.314(c), EHR technology must be able to electronically capture/record all of the data identified in the specified procedure necessary to calculate each eCQM. Data required for eCQM exclusions or exceptions must be codified entries. These entries may include specific terms as defined by each eCQM, or may include codified expressions of "patient reason," "system reason," or "medical reason".
- **Export** As provided in 45 CFR §170.314(c)(1), EHR technology must be able to electronically export a data file formatted in accordance with the Quality Reporting Document Architecture (QRDA) Category I standard that includes all data captured for each and every eCQM for which EHR technology is being certified.

The functionality requirement for Capture/Record and Export specifies that a system user can record and export individual, patient-level eCQM data formatted to the Health Level Seven International (HL7) QRDA Category I Release 4 Implementation Guide (IG) at any time the user chooses, for one or multiple patients, without needing developer support. The requirement for Capture/Export is part of the certification criteria necessary to satisfy the 2015 Edition Base EHR definition. The capability to export eCQM data serves two purposes: (1) a provider or health system can view and verify their eCQM results for quality improvement on a near real-time basis, and (2) providers can export their results to multiple programs, such as those run by CMS, states, and private payers.

Figure 1 depicts the basic concept for Capture/Record and Export. The primary purpose of this step is to verify the EHR technology's ability to export data in the proper QRDA Category I format with the proper Quality Data Model (QDM) data elements.

To verify this capability, Cypress produces static patient data for each of the eCQMs for testing, and exports the relevant patients. This data is entered into the EHR. The EHR is then commanded to export the patient test data as a set of QRDA Category I files that contain the proper elements from the QDM for calculating the eCQMs under test. These QRDA files are loaded back into Cypress where they are tested for validity—determining valid QRDA Category I Extensible Markup Language (XML) documents—and correctness (i.e., containing only the elements required to calculate the eCQMs). Note that all Cypress tests include multiple patients. Cypress does not verify an EHR's ability to export a single QRDA Category I file.

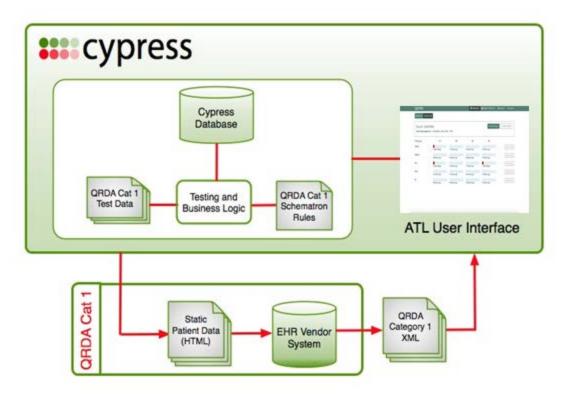


Figure 1. Capture/Record and Export

### 2.2 Import and Calculate

Cypress v3.2 supports the requirements for Import and Calculate capabilities as follows:

- **Import** EHR technology must be able to electronically import a data file formatted in the QRDA Category I format.
- **Calculate** EHR technology must be able to electronically calculate each and every clinical quality measure for which it is presented for certification.

A Health IT Module must be capable of demonstrating that it can import data to warrant certification against this criterion using the QRDA Category I IG, even if the module is also certified to provide "capture/record and export" and "report" functions.<sup>1</sup> The requirement ensures that users of certified health IT can import eCQM data formatted to the QRDA Category I standard for one or more patients without needing to request developer support. The import of QRDA Category I data is only a requirement of the 2015 EHR Certification Program. Health IT Modules certifying with the 2014 EHR Certification Program will be allowed to manually enter patient data.

<sup>&</sup>lt;sup>1</sup> From Federal Regulation (80 FR 62650-62651): "First, this functionality could streamline the testing and certification process by importing QRDA Category I files rather than systems needing to manually enter test patient data. Second, the import functionality can promote quality improvement and data sharing between systems by providing systems the ability to import CQM data from other systems in a standardized format."

## 2.3 Electronic Submission

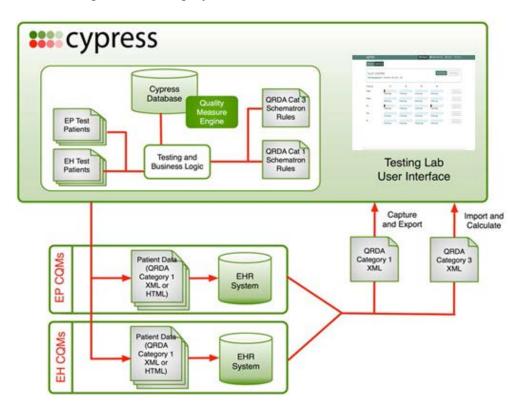
Cypress v3.2 supports the requirements for Electronic Submission capabilities as follows:

• Electronic Submission enables a user to electronically create a data file in QRDA Category III format for transmission of clinical quality measurement data that can be electronically accepted by CMS.

The criterion for certification of Electronic Submission capabilities supports eCQM reporting using the consensus, industry-based QRDA Category I Release 4 and QRDA Category III Release 2.1 IGs. It also supports better alignment with the reporting requirements of CMS programs. The CMS reporting requirements (e.g., use of the CMS QRDA IG) are included as an optional provision within the criterion because not all certified health IT is intended for CMS reporting. The certification to the HL7 QRDA Category I and III standards provide a baseline for interoperability of eCQM data because these standards are consensus based and industry developed. Moreover, the program-agnostic HL7 QRDA standards can support a number of use cases for exchanging eCQM data.

Figure 2 shows the basic concept for Import, Calculate, and Electronic Submission. These steps provide for automated verification that an EHR system can:

• Import and calculate the eCQM results for the quality measures being certified based on the synthetic patient data that Cypress presents to the EHR system under test



• Generate a properly formatted summary eCQM report that could be electronically submitted using QRDA Category III format

Figure 2. Import, Calculate, and Electronic Submission

## 2.4 Data Filtering

Cypress v3.2 supports the 2015 EHR Certification program requirements for Data Filtering capabilities as follows:

• EHR technology must be able to record data (according to specified standards, where applicable) and filter eCQM results at both patient and aggregate levels.<sup>2</sup>

Filtering individual patient-level and aggregate-level eCQM results by data supports administrative reporting as well as identification of health disparities and gaps in care for patients treated at particular group practice sites or in a given Accountable Care Organization (ACO).

<sup>&</sup>lt;sup>2</sup> Rule Reference: 2015 Edition Health Information Technology (Health IT) Certification Criteria, Base Electronic Health Record (EHR) Definition, and ONC Health IT Certification Program Modifications Final Rule (The "2015 Edition"): "The filter functionality included in this criterion will allow a provider to make a query for eCQM results using one or a combination of data captured by the certified health IT for quality improvement and quality reporting purposes. It can also aid in the identification of health disparities, enable care quality improvement, and support providers in delivering more effective care to their patient populations. These filters include, but are not limited to, practice site address, patient age, patient sex, and patient problem list."

## 3. Detailed Description of Cypress Workflow

Figure 3 illustrates the general workflow of a test performed with the Cypress open source software tool and a description of how the test procedure is organized and conducted. The augmentation of the test procedure to include Cypress is based on the ONC-approved and the National Institute of Standards and Technology (NIST)-published procedure for testing the accurate calculation, submission, and degree of correctness of eCQMs.

As shown in Figure 3, the vendor interacts with the vendor system. An ATL test proctor interacts with the Cypress web interface. The test proctor downloads test data from Cypress, which is then sent from Cypress and entered into the vendor system. The vendor returns test results to Cypress, which generates reports reflecting assessment of certification testing.

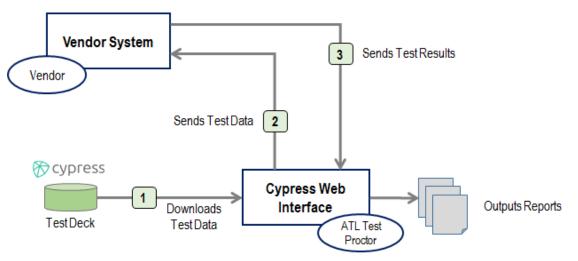


Figure 3. Cypress Workflow in an Authorized Testing Lab

Cypress is capable of performing the following types of tests in support of eCQM certification:

- 1. XML Data conformance testing of the QRDA Category I standard for individual patientlevel data used for eCQM calculation
- 2. XML Data conformance testing of the QRDA Category III standard for aggregate reporting of eCQM calculation
- 3. Accuracy calculation testing of the Eligible Professional / Eligible Clinician eCQMs
- 4. Accuracy calculation testing of the Eligible Hospital / Critical Access Hospital eCQMs

The Cypress website provides a complete description of the Cypress Testing Procedure (available at <u>http://healthit.gov/cypress/resources.html</u>).

The following subsections present a detailed description of Cypress v3.2, ranging from Accessing Cypress, overview of administrative functions, preparation for testing, test execution, exit success criteria, and submission to verification.

### 3.1 Accessing Cypress

To access and download the Cypress Software, go to <u>Project Cypress</u>. The Project Cypress site provides several options and detailed instructions for downloading and installing the Cypress software. If the software has not been installed, please follow the Cypress Download Instructions. This User Guide does not provide instructions for downloading the Cypress software. After successful download and installation, the software is accessible via a URL, bookmark, or icon created during the download/installation.

Once you access your installed instance of Cypress, the Sign In screen should appear. Figure 4 presents a screenshot of the "Sign In" screen. To create an account, select **Sign Up**.

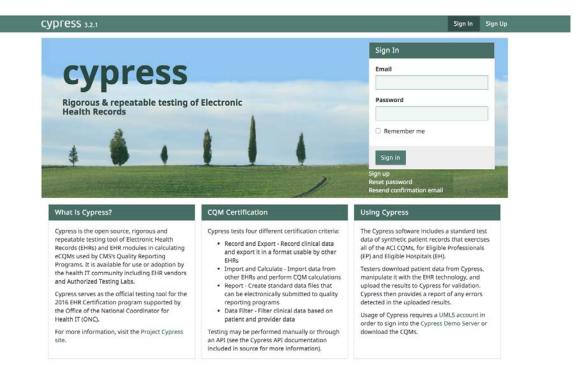


Figure 4. Cypress Sign Up Page

- If you have previously set up an account, enter your email and password, and select **Sign In**.
- If you have previously created an account and forgotten your password, enter your email and select **Forgot your Password?** You will be sent a link to reset your password.
- Sign Up will bring you to the Sign Up and Terms and Conditions page. Click on the Terms and Conditions Link on that page. Figure 5 presents a screenshot of the Sign Up and Terms and Conditions page.

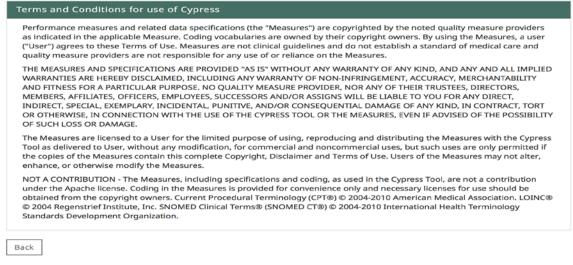


Figure 5. Cypress Terms and Conditions Page

- To Sign Up, enter your Email, a Password, and then confirm that Password.
- Read the Terms and Conditions, check I agree to the above Terms and Conditions, and select Sign up to create your Cypress Account as shown in the screenshot of the Cypress Account creation page (Figure 6).

Sign Up
Email
Password
8 characters minimum and include at least 3 of the following: lowercase letters, uppercase letters, digits, and special characters. Confirm Password
Terms and Conditions  I agree to the above Terms and Conditions
Sign up
Sign in

Resend confirmation email

Figure 6. Cypress Account Creation

### 3.2 Overview of Administrative Functions

Cypress displays the **Dashboard** after you create your account, as shown in Figure 7.

CYPICSS 3.2.0	)	<b>Ⅲ</b> Dashboard	😁 Master Patient List	🐣 cypressdemo@mitre	🌣 Admin	🕩 Log Out
Dashboard						
Vendors					+	Add Vendor
Vendor	Products	🔶 🖌 Passing 🔶	🗙 Failing 🕴 🕴 Errore	d     O Incomplete	\$	\$
☆ Sample	Vendor + Add Product				F	Edit Vendor

Figure 7. Cypress Dashboard

The Dashboard includes the following Cypress Administrative Functions:

- 1. Master Patient List Provide a list of all test data for eCQMs
- 2. Account Update a Cypress Account Password
- 3. Log Out Log out of the Cypress Application
- 4. Add Vendor Enter EHR Vendor contact and product information (please refer to "Cypress v3.2 Preparation for Testing," subsection 4.3)
- 5. Edit EHR Vendor Edit EHR Vendor contact and product information (please refer to "Cypress v3.2 Preparation for Testing," subsection 4.3)

### 3.2.1 Master Patient List

Figure 8 presents the Master Patient List page that provides a list of all test data for 2017 eCQMs for the 2018 Reporting Period.

Master Patient List		
Filter Patients	Annual Update Bundle	
Type to search by measure Type the name or CMS ID of a measure	2015 Bundle for the 2016 Reporting Period	Download
	O 2016 Bundle for the 2017 Reporting Period	Download
	2017 Bundle for the 2018 Reporting Period	Download
All Patients		
	DOB	Gender
Patient Name	DOB January 3, 1949	<b>Gender</b> Female
Patient Name 1 AMI		
AMI 4 AMI	January 3, 1949	Female
AMI AMI AMI SAMI	January 3, 1949 January 25, 1949	Female Female
A AMI A AMI A AMI A AMI A AMI	January 3, 1949 January 25, 1949 January 12, 1949	Female Female Male
Patient Name 1 AMI 4 AMI 5 AMI A AMI B AMI	January 3, 1949 January 25, 1949 January 12, 1949 January 7, 1949	Female Female Male Female
All Patients Patient Name 1 AMI 4 AMI 5 AMI AAMI B AMI C AMI D AMI	January 3, 1949 January 25, 1949 January 12, 1949 January 7, 1949 January 5, 1949 January 7, 1949	Female Female Male Female Female
1 AMI 4 AMI 5 AMI B AMI C AMI	January 3, 1949 January 25, 1949 January 12, 1949 January 7, 1949 January 5, 1949	Female Female Male Female Female Female

Figure 8. Master Patient List of Test Patients

January 1, 1974

February 1, 1994

By selecting the **Filter by Measure** drop-down, you can select a specific measure from a list of patient records that apply to a specific eCQM and eCQM qualifiers. Figure 9 presents an example screenshot of filtering by the measure, CMS2v7 Preventive Care and Screening: Screening for Clinical Depression and Follow-Up Plan Patients.

Master Patient List							
Master Patient List							
Filter Patients		Annual l	Jpdate E	Bundle			
Type to search by measure		○ 2015 B	undle for the	e 2016 Reporti	ng Period	Download	
Citiz		○ 2016 B	undle for the	e 2017 Reporti	ng Period	Download	
		⊙ 2017 B	undle for the	e 2018 Reporti	ng Period	Download	
CMS2v7 Preventive	Care and Screening	: Screening	for Dep	ression a	nd Follo	w-Up Plan	Patients
Patient Name	DOB	Gender	IPOP	DENOM	DENEX	NUMER	DENEXCEP
1 BH Adult	January 21, 1981	Male	$\bigcirc$	$\bigcirc$	0		
2 BH Adult	February 14, 1990	Male	$\bigcirc$	$\bigcirc$	$\bigcirc$		
3 BH Adult	September 11, 1974	Female	$\bigcirc$	$\bigcirc$	$\bigcirc$		
			-	_	-		

#### Figure 9. Patients Specific to CMS2v5 Preventive Care and Screening: Screening for Clinical Depression and Follow-Up Plan Patients

 $\bigcirc$ 

 $\bigcirc$ 

 $\bigcirc$ 

 $\bigcirc$ 

 $\bigcirc$ 

 $\bigcirc$ 

If you click on the patient's name in the patient record list, Cypress will display an individual patient record and their eCQM qualifiers as shown in Figure 10.

Male

Male

Measure Information						Patient Details	
	IPP	DENOM	NUMER	DENEX	DENEXCE		September 1, 1960
CMS2v6 Preventive Care and	$\bigcirc$	$\bigcirc$				Gender Race	Female American Indian or Alaska Native
CMS22v5 Preventive Care an	$\bigcirc$	$\circ$				Ethnicity	Not Hispanic or Latino
CMS61v6 (a) Preventive Care	$\bigcirc$					Allergies	
CMS61v6 (b) Preventive Care	$\bigcirc$					Care Goals	
CMS61v6 (c) Preventive Care	$\bigcirc$	$\bigcirc$				care ooals	
CMS64v6 (a) Preventive Care	$\bigcirc$					Conditions	
CMS64v6 (b) Preventive Care	$\bigcirc$					Diagnosis: Breast Ca	ancer
CMS64v6 (c) Preventive Care	$\bigcirc$					ICD-9-CM	
CMS68v6 Documentation of C	4	4				ICD-10-CM SNOMED-CT	
CMS69v5 Preventive Care an	$\bigcirc$	$\bigcirc$				Start Time	March 2, 2015 2:30pm
CMS124v5 Cervical Cancer Sc	$\bigcirc$	$\bigcirc$					
CMS125v5 Breast Cancer Scre	$\bigcirc$	$\bigcirc$	$\bigcirc$				ancer Er Or Pr Positive
CMS130v5 Colorectal Cancer	$\bigcirc$	$\bigcirc$				Start Time	March 2, 2015 2:45pm
CMS138v5 Preventive Care an	$\bigcirc$	$\bigcirc$					
CMS140v5 Breast Cancer: Hor	$\bigcirc$	$\bigcirc$					al Mastectomy, Unspecified Laterality
CMS147v6 Preventive Care an	$\bigcirc$	$\circ$				SNOMED-CT ICD-9-CM ICD-10-CM	V45.71
						Start Time	April 28, 2015 1:00pm
						Anatomical Locati	Left SNOMED-CT: 419161000
						Encounters	
						Encounter, Perform	ed: Office Visit
						СРТ	

Figure 10. Patient Test Record Data Requirements and eCQM Qualifiers

A N BH Adult

B BH Adult

### 3.2.2 Account

If you have previously set up an account, this function allows you to change your Password or delete your account. Figure 11 presents a screenshot of the account password update and delete page.

cypress	📰 Dashboard	😁 Master Patient List	<b>ଂ</b> ଶ Account	🕩 Log Out
Edit Account				
Edit User				
Email				
rlhghapn@sharklasers.com				
Password				
we need your current password to confirm your changes				
New Password				
leave blank if you don't want to change it				
Confirm New Password				
Update Back				
Delete Account				
Once you delete your account you cannot get it back. Be sure you want to do this.				
Delete Account				

Figure 11. Account Password Update

### 3.2.3 Log Out

Figure 12 presents a screenshot of the Log Out function that allows a user to return to the Account Sign in (as shown in Figure 4).

Cypress 3.2.0		📰 Dashboard	😁 Master Patient List	🐣 cypressdemo@mitre	🌣 Admin	🗭 Log Out
Dashboard						
Vendors					+	Add Vendor
Vendor	Products	🗸 Passing 🔶	🗙 Failing 🔶 ! Errore	d 💠 O Incomplete	÷	\$
Sample Vendor	+ Add Product				1	Edit Vendor

Figure 12. Cypress v3.2 Log Out

### 3.2.4 Add Vendor

This function allows the user to enter EHR vendor contact and product information (as described in subsection 3.3, Cypress v3.2 Preparation for Testing), as shown in Figure 13.

Dashboard Add Vendor
Add Vendor
Vendor Name
Vendor ID
URL
Address
State
Zip
Add Point of Contact
Add Vendor Cancel

Figure 13. Add Vendor Page

### 3.2.5 Edit Vendor

This function allows a user to edit EHR Vendor contact and product information (as described in subsection 3.3, Cypress v3.2 Preparation for Testing), as shown in Figure 14.

Dashboard Vendor: Sample Vendor	Edit Vendor		
Save Changes: Sample Vendor			
Vendor Name			
Sample Vendor			
Vendor ID			
ID 1			
URL			
www.samplevendor.com			
Address			
1287 enterprise way			
State			
DC			
Zip			
20013			
POC Name	Email	Telephone	Type of Contact Remove
John Doe	johndoe@samplevendor.com	111-111-1111	Manager
Add Point of Contact			
Save Changes Cancel			
Delete Vendor			
Removing a vendor will also delete all as Delete Vendor	ssociated products, product tests, and test	execution results. Be sure you want to do	this.



### 3.3 Cypress v3.2 Preparation for Testing

#### Add Vendor Information

- Once you have created an account, you can begin testing by adding a Vendor and Contact Information.
- Select Add Vendor from the Dashboard as shown in Figure 15.

CYPRESS 3.2.0	📰 Dashboard	醬 Master Patient List	cypressdemo@mitre	🕸 Admin	🕞 Log Out
Dashboard					
					Add Vendor
Vendors				_ <b>+</b>	Add vendor
Vendor	🗸 Passing	🗙 Failing 🕴 🕴 Errored		÷	\$
Sample Vendor + Add Product				×	Edit Vendor

Figure 15. Dashboard Select Add Vendor

- After selecting **Add Vendor**, the **Add Vendor** information will be displayed as shown in Figure 16.
- Complete the fields listed under Create Vendor and select Add Vendor.

Email	Telephone	Type of Contact	Remove
johndoe@samplevendor.com	111-111-1111	Manager	
	These we are not the		

Figure 16. Add Vendor Page

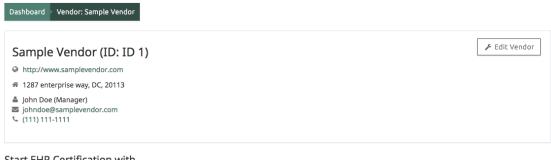
#### Enter Product Information

• The next step is to enter Product Information. Begin by clicking the Vendor name displayed on the Dashboard as shown in Figure 17.

Dashboard			
Vendors			+ Add Vendor
Vendor	Products	♦ ♥ Passing ♦ ¥ Failing ♦ ! Errored ♦ O Incomplete ♦	\$
☆ Sample Vendor	+ Add Product		📕 Edit Vendor

Figure 17. Dashboard with New Vendor Created

• Next, click **Add Product**, as shown in Figure 18, to begin entering vendor product information.



Start EHR Certification with



Figure 18. Vendor Product Information Page

- On the Add Product page, presented in Figure 19:
  - Enter Product information (Name, Version, and Description). Examples would be: product version number, the name and version of any optional modules installed in the product at the time of the test, and the operating system.
  - For Certification Edition, you must select from the following options:
    - 2014 Edition Certification
    - 2015 Edition Certification
  - For Certification Types, you must certify (that is, check the box) to at least C1 or C2 (a product must certify to either C1 or C2) from the following options:
    - C1 Test Record and Export
    - C2 Test Import and Calculate
    - C3 Test Data Submission
    - C4 Test Data Filtering
  - For Records Options, note that Randomize Records and Duplicate Records have already been pre-checked because these options are recommended for the most robust testing (although they can be unselected, if needed)

- For Annual Update Bundle, you must select which bundle of eCQMs you are certifying for:
  - 2017 Bundle for 2018 Reporting Period
  - 2016 Bundle for 2017 Reporting Period
  - 2015 Bundle for 2016 Reporting Period
- When selecting the Annual Update Bundle, selecting Shift Records will move patient data forward into the appropriate reporting period. Otherwise, patient data will remain in a previously completed calendar year.

Dashboard Vendor: Sample Vendor Add Product	
Add Product	
Name	Records Options
	Randomize Records
Version	Duplicate Records
	Recommended for most robust testing.
Description	Annual Update Bundle
	<ul> <li>2017 Bundle for the 2018 Reporting Period (w/ Sept 2017 Addendum) 2017.0.2</li> </ul>
Certification Edition	2016 Bundle for the 2017 Reporting Period 2016.0.2
2014 Edition	$\bigcirc$ 2015 Bundle for the 2016 Reporting Period 2015.0.0
2015 Edition	Select the measure versions Cypress should use to certify this product.
Select the certification edition.	Shift Records
Certification Types C C1 Test	Selecting Shift Records will move patient data forward into the appropriate reporting period. Otherwise patient data will remain in a previously completed calendar year.
C2 Test	Measures Options
C3 Test	C Eligible Hospital eCQMs
C4 Test	Eligible Professional eCQMs
Select the certification type Cypress should use to certify this product	○ All eCQMs
	○ Custom
	Indicate the clinical quality measures Cypress should use to certify this product. Testing will be performed on a measure-by-measure basis. Click 'Custom' to specify individual measures.
Add Product Cancel	

Figure 19. Vendor Add Product Page

- The Vendor Add Product page also has a section entitled "Measures Options" that must be completed before adding a product.
- For Measures Options, you must indicate the clinical quality measures (i.e., select one option) Cypress should use to certify this product, as shown in Figure 20. The options are:
  - Eligible Hospital eCQMs
  - Eligible Provider eCQMs
  - All eCQMs

 Custom. Selecting "Custom" allows you to specify any individual measures Cypress should use to certify this product. Figure 21 presents a screenshot of the option for "Custom".

Dashboard Vendor: Sample Vendor Add Product	
Add Product	
Name	Records Options
	Randomize Records
Version	Duplicate Records
	Recommended for most robust testing.
Description	Annual Update Bundle
	<ul> <li>2017 Bundle for the 2018 Reporting Period (w/ Sept 2017 Addendum) 2017.0.2</li> </ul>
Certification Edition	2016 Bundle for the 2017 Reporting Period 2016.0.2
O 2014 Edition	2015 Bundle for the 2016 Reporting Period 2015.0.0
2015 Edition	Select the measure versions Cypress should use to certify this product.
Select the certification edition.	□ Shift Records
Certification Types	Selecting Shift Records will move patient data forward into the appropriate
C1 Test	reporting period. Otherwise patient data will remain in a previously completed calendar year.
C2 Test	Measures Options
C3 Test	• Eligible Hospital eCQMs
🕝 C4 Test	C Eligible Professional eCQMs
Select the certification type Cypress should use to certify this product	○ All eCQMs
	○ Custom
	Indicate the clinical quality measures Cypress should use to certify this product. Testing will be performed on a measure-by-measure basis. Click 'Custom' to specify individual measures.
Add Product Cancel	

Figure 20. Eligible Hospital eCQMs Measure Selection

Add Product	
Name	Records Options
	Randomize Records
Version	Duplicate Records
	Recommended for most robust testing.
Description	Annual Update Bundle
	<ul> <li>2017 Bundle for the 2018 Reporting Period (w/ Sept 2017 Addendum) 2017.0.2</li> </ul>
Certification Edition	2016 Bundle for the 2017 Reporting Period 2016.0.2
O 2014 Edition	2015 Bundle for the 2016 Reporting Period 2015.0.0
2015 Edition	Select the measure versions Cypress should use to certify this product.
Select the certification edition.	Shift Records
Certification Types	Selecting Shift Records will move patient data forward into the appropriate
C1 Test	reporting period. Otherwise patient data will remain in a previously completed calendar year.
C2 Test	Measures Options
C3 Test	O Eligible Hospital eCQMs
🗹 C4 Test	C Eligible Professional eCQMs
Select the certification type Cypress should use to certify this product	○ All eCQMs
	<ul> <li>Custom</li> </ul>
	Indicate the clinical quality measures Cypress should use to certify this product. Testing will be performed on a measure-by-measure basis. Click 'Custom' to specify individual measures.

Figure 21. Vendor Add Product, "Custom" Measures Option

- Please note that testing will be performed on a measure-by-measure basis.
- After you have entered all of the information on the Vendor Add Product page, select **Add Product**, as shown in Figure 22.

Type to filter by measure		
Type a keyword or CMS ID of a measure		
Acute Myocardial Infarction (1 EH)		
Asthma (1 EH)		Select all 7 Behavioral Health Adult measures
Behavioral Health Adult (7 EP)	7.	
Behavioral Health General (1 EP)	14	CMS82v5 Maternal Depression Screening (EP)
Behavioral Health Pediatric (1 EP)	1.0	CMS128v6 Anti-depressant Medication Management (EP)
Cancer (2 EP)	24	CMS137v6 Initiation and Engagement of Alcohol and Other Drug
Core (18 EP)	18 🗸	Dependence Treatment (EP)
Dental (1 EP)	14	CMS159v6 Depression Remission at Twelve Months (EP)
Diabetes (5 EP)	5√	CMS160v6 Depression Utilization of the PHQ-9 Tool (EP)
Emergency Department (3 EH)		
Eye (4 EP)	44	CMS161v6 Adult Major Depressive Disorder (MDD): Suicide Risk Assessment (EP)
General Practice Adult (7 EP)	7.4	CMS169v6 Bipolar Disorder and Major Depression: Appraisal for alcohol or
General Practice Pediatric (1 EP)	14	chemical substance use (EP)
HIV (1 EP)	14	
Heart (4 EP)	4√	
Newborn (2 EH)		
Orthopedics (2 EP)	2 🗸	
Pregnancy (1 EP, 1 EH)	1.4	
Stroke (6 EH)		
Venous Thromboembolism (2 EH)		

Figure 22. Vendor Add Product Page, Select "Add Product"

- The Vendor and Product will now display on the Dashboard.
- Select the product name under Products to begin defining tests for the product as shown in Figure 23.

Sample Vendor (ID:	ID 1)					+ Add P	roduct 🎤	Edit Vendor
http://www.samplevendor.com								
1287 enterprise way, DC, 2011	3							
을 John Doe (Manager) 줄 johndoe@samplevendor.com 또 (111) 111-1111								
Products								
	_							
🕁 EH Product	c	:1	C2		C3			C4
	Sample	:1 QRDA-I	C2 QRDA-III	Sample	C3 QRDA-I	QRDA-III	QRDA-I	C4 QRDA-III
				Sample		QRDA-III		
<b>0</b> Passing Tests				Sample		QRDA-III		
<ul><li><i>0</i> Passing Tests</li><li><i>0</i> Failing Tests</li></ul>				Sample 3		QRDA-III		
<ul> <li><i>0</i> Passing Tests</li> <li><i>0</i> Failing Tests</li> <li><i>0</i> 28 Not started Tests</li> </ul>	Sample	QRDA-I	QRDA-III		QRDA-I		QRDA-I	QRDA-III
0 Passing Tests 0 Failing Tests 28 Not started Tests	Sample 3	QRDA-I	QRDA-III		QRDA-I		QRDA-I	QRDA-III
Ø Passing Tests Ø Failing Tests 28 Not started Tests   Carter Contempts	Sample 3	QRDA-I 3	QRDA-III 3 C2	3	QRDA-I 3 C3	3	QRDA-I 5	QRDA-III 5
0 Passing Tests 0 Failing Tests 28 Not started Tests	Sample 3	QRDA-I 3	QRDA-III 3 C2	3	QRDA-I 3 C3	3	QRDA-I 5	QRDA-III 5

Figure 23. Dashboard Displaying a Vendor with Two Products Added

### 3.4 Test Execution

#### Background

This process evaluates the capability of the EHR system under test (SUT) to electronically generate the proper eCQM artifacts for the eCQMs being certified.

The Test Proctor provides the Cypress v3.2 Test Data for the clinical quality measures for which the EHR system will be tested in the format selected by the EHR vendor.

Depending on the test, the vendor manually inputs or electronically downloads the patient test data from Cypress that is used to test their EHR system.

- Selecting C1 certification along with C2, C3, and C4 will provide the vendor with the following certification test options that allow a Test Proctor to examine the eCQMs implemented in their EHR:
  - C1-C3 Record Sample tests, which will have only four predetermined measures for testing of C1 and C3 certifications. This tab validates the EHR system for C1 and C3 certifications by entering specified patient data for four measures. Certifiers will download a QRDA zip file of patients, which is uploaded into their EHR system and re-uploaded into Cypress.
  - C1-C3 (QRDA-I), which will contain measures (based on your selection of all eCQMs or Custom for either hospitals or professionals). This tab will test the EHR system's ability to record and export (C1) and submit (C3) measure-based data.
  - C2-C3 (QRDA-III), which tests the EHR system's ability to import and calculate (C2) and submit (C3) measure-based data. Certifiers will submit XML patient files exported from their EHR system to verify calculation and submission of reporting.
  - C4 (QRDA-I and QRDA-III), which test the EHR system's ability to filter patient records.

This process enables you to test the product based on the Certification Types and Measures Options you selected when you created the product.

### 3.4.1 Automated Measure Test Execution

All certification types require automated measure test execution. Figure 24 shows C1 and C2 certification selected for all hospital eCQMs with randomized and duplicate records to be included in the test data files created by Cypress. Products certifying to C2 criteria in addition to C1 will create a product that has a limited number of C1 record sample test measures, automated C1 measure test, and any other certification tests created.

Add Product	
Name	Records Options
Sample Product	Randomize Records
Version	Duplicate Records
	Recommended for most robust testing.
Description	Annual Update Bundle
	<ul> <li>2017 Bundle for the 2018 Reporting Period (w/ Sept 2017 Addendum) 2017.0.2</li> </ul>
Certification Edition	2016 Bundle for the 2017 Reporting Period 2016.0.2
🔿 2014 Edition	2015 Bundle for the 2016 Reporting Period 2015.0.0
2015 Edition	Select the measure versions Cypress should use to certify this product.
Select the certification edition.	Shift Records
Certification Types	Selecting Shift Records will move patient data forward into the appropriate
🗹 C1 Test	reporting period. Otherwise patient data will remain in a previously completed calendar year.
🗹 C2 Test	Measures Options
C3 Test	Eligible Hospital eCQMs
C4 Test	Eligible Professional eCQMs
Select the certification type Cypress should use to certify this product	○ All eCQMs
	Custom
	Indicate the clinical quality measures Cypress should use to certify this product. Testing will be performed on a measure-by-measure basis. Click 'Custom' to specij Individual measures.

Figure 24. C1-C2 Automated Entry Certification

Figure 25 shows the result of adding the C1-C2 certification.

Sample Product' was	created.							
Comple Vender (ID)						+ Add P	roduct 🖌	Edit Vendor
Sample Vendor (ID:								
http://www.samplevendor.com								
# 1287 enterprise way, DC, 20113	3							
John Doe (Manager)								
Products		1	2	1	G			<b>C4</b>
C (111) 111-1111	Sample	1 QRDA-I	C2 QRDA-III	Sample	C3 QRDA-1	QRDA-III	QRDA-I	C4
Products				Sample		QRDA-III		
johndoe@samplevendor.com      (111)1111    Products      Sample Product      o Passing Tests      ofalling Tests				Sample		QRDA-III		

Figure 25. Add Product for Automated Entry of Measure Data

To select a measure for testing, click on the product name shown in Figure 26.

Dashboard Vendor: Sample Vendo	ог							
Sample Product' was cr	eated.							×
Sample Vendor (ID: II	D 1)					+ Add Pi	roduct 🥕	Edit Vendor
http://www.samplevendor.com								
希 1287 enterprise way, DC, 20113								
▲ John Doe (Manager) ⊠ johndoe@samplevendor.com \u00e9 (111) 111-1111								
2 Products								
. Comerto Das dust		1	C2		C3			C4
Sample Product	Sample	QRDA-I	QRDA-III	Sample	QRDA-I	QRDA-III	QRDA-I	QRDA-III
✓ Ø Passing Tests								
× 0 Failing Tests								
O 36 Not started Tests	4	16	16					

Figure 26. Selecting a Product to Test

Figure 27 shows the certification tests created for Sample Product. Note that the tab for record sample contains only four measures as well as tabs for C1 all Hospital and C2 certifications. The first Record Sample Test validates the EHR system for C1 certification by entering specified patient data for those identified measures. The second tab, C1 (QRDA-I), tests the EHR system's ability to record and export (C1), while the third tab, C2 (QRDA-III) tests the EHR system's ability to import and calculate (C2). Above the certification test tabs is a Product Status display that provides a quick summary of the test cases that have passed, failed, and not yet started for each Certification Type (based on the Measures Options you selected). For example, Figure 27 shows there are 4 Not started C1 Record Sample tests, 16 Not started C1 (QRDA-I) tests, and 16 Not started C2 (QRDA-III) tests. The Product page also provides users with the ability to download all of the information required to perform all certification tests (by pressing the Download Full Test Deck button). This download contains a folder for each measure selected for this product. These folders contain XML documents for each patient associated with that measure.

Dashboard Vendor: Sam Sample Product		or Prod	luct: Sample	e Product					🗲 Edit Product 🕹 Download Report
	C	:1	C2		C3			C4	Download Full Test Deck
	Sample	QRDA-I	QRDA-III	Sample	QRDA-I	QRDA-III	QRDA-I	QRDA-III	This download contains a folder for each
• 0 Passing Tests									measure selected for this product. Inside these folders are XML documents for each patient
O Failing Tests     J6 Not started Tests		10	10						associated with that measure.
J 30 NOT STAILED TESTS	4	16	16						Download All Patients (.zip)
C1 Sample C1 (QRDA-I)	C2 (QR	DA-III)							

Validate the EHR system for C1 certification by entering specified patient data for the following measures.

View Record Sample		
Upload Results	C1 Upload Results	Status
O Not Started	O Not Started	O Not Started
Data Criteria Verification		Status
CMS31v6 Hearing Screening Prior To	Hospital Discharge	Not Started
CMS104v6 Discharged on Antithromb	ootic Therapy	Not Started
CMS105v6 Discharged on Statin Med	ication	Not Started
CMS107v6 Stroke Education		Not Started

Figure 27. Showing C1 Record Sample, C1 Automated, and C2 Product Measures

To execute a Test for C1 (QRDA-1), select that tab and select the desired measure to begin testing, using either the Upload Link (if you already have your zip file ready for upload), or click on the Measure Name to download a test file to upload for C1 Certification testing as shown in Figure 28. The upload button will display a file selection dialogue box to select your file. The Measure Name Link will take you to the screen shown in Figure 29.

CMS ID	Measure Name	C1 Results	Quick Upload	Last Updated
CMS108v6	Venous Thromboembolism Prophylaxis	O Not Started	upload	⊙ 3 minutes ago
CMS190v6	Intensive Care Unit Venous Thromboembolism Prophylaxis	O Not Started	upload	⊙ 3 minutes ago
CMS105v6	Discharged on Statin Medication	O Not Started	upload	⊙ 3 minutes ago
CMS107v6	Stroke Education	O Not Started	upload	⊙ 3 minutes ago
CMS102v6	Assessed for Rehabilitation	O Not Started	upload	∅ 3 minutes ago
CMS104v6	Discharged on Antithrombotic Therapy	O Not Started	upload	⊘3 minutes ago

Figure 28. Product Measure Upload or Measure Name Selection

Once you have selected the Measure Name you want to test, Cypress displays the test page for that individual measure (e.g., CMS108v6) as shown in Figure 29.

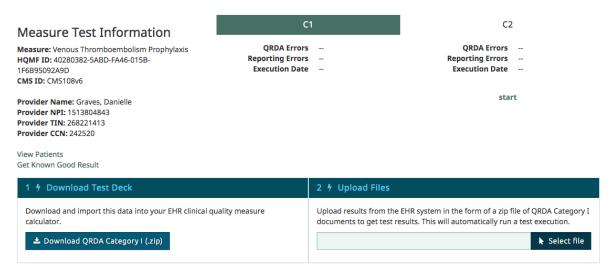


Figure 29. Individual Measure Test Page

- Patient test data for the selected eCQMs of the specified test must be downloaded in the format required for the test.
- Click the **Download QRDA Category I** (.zip) button to download the test data for that selected eCQM. The downloaded zip file will contain duplicate and randomized patient records that your EHR system should correct for the uploaded portion of the test. The downloaded patient record test data will be contained in a zip file. Figure 30 shows an example filename entry.

CMS108v6\_5968e99655fc91377b3a2ef7.qrda.zip

274 KB ZIP archive

Figure 30. Zip File of Patient Test Data for Selected eCQM

- If you click the **View Patients** link (shown in Figure 29), you will see a listing of the patients for that specific measure.
- Click **Get Known Good Results** link to download a zip file of patients that will pass the measure test you are planning to conduct as shown in Figure 31.

CMS108v6_5968e99655fc91377b3	Ba2ef7.qrda	
		Q Searc
View Arrange Action Share Edit Tags		Searc
Name ^	Size K	nd
0_Allison_Wilkerson.xml	16 KB X	ML
1_Ana_Johnston.xml	14 KB X	ML
2_Anita_Quinn.xml	17 KB X	ML
3_Anita_Wilson.xml	19 KB X	ML
4_Arlene_George.xml	18 KB X	ML
5_Ben_Payne.xml	21 KB X	ML
6_Bill_Cannon.xml	22 KB X	ML
7_Brandy_Nelson.xml	18 KB X	ML
8_Brian_Hoffman.xml	20 KB X	ML
9_Calvin_Kelley.xml	20 KB X	ML
10_Carl_Perry.xml	16 KB X	ML
11_Carla_Hawkins.xml	15 KB X	ML
12_Cathy_Rowe.xml	16 KB X	ML
13_Cindy_Perry.xml	26 KB X	ML
	44.000 10	

#### Figure 31. Listing of Zip File of XML Patient Test Data for Testing Selected eCQM

• Select a single patient record and open the XML from the zip file listing to view the information. Figure 32 presents an example screenshot.

```
CMS117v4_5717f0d667c28f4ffe43875a.debug Reporting period changed mood and class code/xml ~
F7xml version="1.0" encoding="utf-8"?>
```

Figure 32. Patient XML File for C2 Test

- Once the EHR system has generated the test results in the QRDA Category I format, the results are uploaded from that individual measure's test page under the Upload files section. For C2 and C3 testing, this upload would occur in QRDA Category III format.
- Click on **Select file** as shown in Figure 33, browse to the QRDA Category I file you want to upload, and click **Upload**.

Measure Test Information	C	1	C2
Measure: Venous Thromboembolism Prophylaxis HQMF ID: 40280382-5ABD-FA46-015B- 1F6B95092A9D CMS ID: CMS108v6	QRDA Errors Reporting Errors Execution Date		QRDA Errors Reporting Errors Execution Date
Provider Name: Graves, Danielle Provider NPI: 1513804843 Provider TIN: 268221413 Provider CCN: 242520 View Patients Get Known Good Result			start
1 🕴 Download Test Deck		2 🧚 Upload Files	
Download and import this data into your EHR clinical of calculator.	quality measure		EHR system in the form of a zip file of QRDA Category I ssults. This will automatically run a test execution.

Figure 33. Upload Test Execution Results

- The Test Proctor will then validate that the proper eCQM artifacts in QRDA Category I file format for the test being performed are electronically generated by the EHR SUT.
- Validation results will then be displayed in the Dashboard for that specific vendor's product as shown in Figure 34.

2 Products

\* 1 Failing Tests

O 35 Not started Tests

😭 EH Product	C1		C2	С3			C4	
	Sample	QRDA-I	QRDA-III	Sample	QRDA-I	QRDA-III	QRDA-I	QRDA-III
<ul> <li>2 Passing Tests</li> </ul>		1			1			
<b>X 0</b> Failing Tests								
O 26 Not started Tests	3	2	3	3	2	3	5	5
			1	1			1	
😭 Sample Product	c	1	C2		C3			C4
Bampie rioduct	Sample	QRDA-I	QRDA-III	Sample	QRDA-I	QRDA-III	QRDA-I	QRDA-III
✓ 0 Passing Tests								

Flaura 24 Evan	and of Tool Evenue	tion Summary Results
	ποιε οι τεςιεχεστι	IOD NUMBER RESIDE

16

1

15

4

#### 3.4.2 Record Sample Test

To begin record sample testing (and validate the EHR system for C1 certification by entering specified patient data for specific measures), select the Record Sample Test tab and then select **View Record Sample** as shown in Figure 35.

C1 + C3 Sample	C1 + C3 (QRDA-I)	C2 + C3 (QRDA-III)	C4 (QRDA-I and QRDA-III)				
Validate the measures.	EHR system fo	or C1 and C3 co	ertifications by entering specified pa	atient data for the following			
View Record Sar Upload Results	nple	C1 Upload Results	C3 Upload Results	Status			
O Not Started		O Not Started	O Not Started	O Not Started			
Data Criteria Ver	ification			Status			
CMS32v7 Median	CMS32v7 Median Time from ED Arrival to ED Departure for Discharged ED Patients						
CMS55v6 Median	Time from ED Arriva	l to ED Departure for	Admitted ED Patients	Not Started			
CMS111v6 Modian	Admit Decision Tim	e to FD Departure Tin	ne for Admitted Patients	Not Started			

Figure 35. Record Sample Test, Select "View Record Sample"

As shown in Figure 36, this action will bring you to the Record Sample Checklist test page, which displays the status of each test on the right margin (i.e., Not Started, Passing, or Failing). The Record Sample Checklist page also displays a summary of each test, and allows the user to check off (and save) a test when it has been completed.

pload Res	sults	C1 Upload	Results	C3 Upload Results	Status				
Not Star	ted	O Not Sta	rted	O Not Started	O Not Started				
ata Crite	ia Verification	Status							
MS32v7 N	ledian Time from ED Arri	Failing							
MS55v6 N	ledian Time from ED Arri	val to ED Dep	arture for Ad	mitted ED Patients	Not Started				
MS111v6	Median Admit Decision T	ime to ED Dej	parture Time	for Admitted Patients	Not Started				
it Test MS32v7	Median Time from E	D Arrival to	) ED Depar	ture for Discharged ED Patients					
alidated QRDA	Data Criteria	Section	Required Attributes	Value Set(s)	Recorded Code/Attribute Value				
					*				
		Attributes Discharge status	Emergency Department Visit 2.16.840.1.113883.3.117.1.7.1.292	Code					
				2.16.840.1.113883.3.117.1.7.1.292	4525004				
	Encounter, Performed			Discharge To Acute Care Facility SNOMEDCT Value Set	✓ Attribute code				
			Attributes	Attributes	Attributes	Attributes		2.16.840.1.113883.3.117.1.7.1.87	
							50005		306701001
				D	✓ Code				
	Patient Characteristic			Race 2.16.840.1.114222.4.11.836					
	Race			2.10.040.1114222.4.11.050	1002-5				
		Attributes							
				Encounter Inpatient	~				
				2.16.840.1.113883.3.666.5.307	Code				
	Encounter, Performed	Valuesets		Emergency Department Visit	183452005				
				2.16.840.1.113883.3.117.1.7.1.292					
		Attributes							

Figure 36. Record Sample Checklist Page

When choosing a code to demonstrate entry of Record Sample data criteria, click on the allowable value sets to view a listing of valid codes as shown in Figure 37. Clicking on the allowable value sets will open a window displaying all of the codes in the value sets as shown in Figure 38. The searchable list allows users to find an appropriate code for entry.

alidated	Data Criteria	Section	Required Attributes	Value Set(s)	Recorded Code/Attribute Value
	Encounter, Performed	Valuesets		Emergency Department Visit 2.16.840.1.113883.3.117.1.7.1.292	Code
		Attributes	Discharge status	Discharge To Acute Care Facility SNOMEDCT Value Set 2.16.840.1.113883.3.117.1.7.1.87	Attribute code
	Patient Characteristic Race	Valuesets		Race 2.16.840.1.114222.4.11.836	Code
		Attributes			
	Encounter, Performed	Valuesets		Encounter Inpatient 2.16.840.1.113883.3.666.5.307	Code
		ounter, Performed Emergency Department Visit	Emergency Department Visit 2.16.840.1.113883.3.117.1.7.1.292		
		Attributes			

Figure 37. View Listing of Valid Codes

lt Test	Median Time from E	Filter codes Emergency department (	patient visit (procedure): 4525004	
alidated n QRDA	Data Criteria	Close		lecorded Code/Attribute Valu
		Valuesets	Emergency Department Visit 2.16.840.1.113883.3.117.1.7.1.292	Code
	Encounter, Performed	Attributes Discharge status	Discharge To Acute Care Facility SNOMEDCT Value Set 2.16.840.1.113883.3.117.1.7.1.87	Attribute code
	Patient Characteristic Race	Valuesets	Race 2.16.840.1.114222.4.11.836	Code
		Attributes		
			Encounter Inpatient 2.16.840.1.113883.3.666.5.307	Code
	Encounter, Performed		Emergency Department Visit 2.16.840.1.113883.3.117.1.7.1.292	
		Attributes		

Figure 38. Search for Valid Codes

Completing and saving a test, as shown in Figure 39, will then refresh the page and provide an updated status description for that specific test.

alidated	Data Criteria	Section	Required Attributes	Value Set(s)	Recorded Code/Attribute Value	
				Emergency Department Visit	✓ Code	
		Valuesets		2.16.840.1.113883.3.117.1.7.1.292	4525004	
	Encounter, Performed				<i>.</i>	
		Attributes	Discharge	Discharge To Acute Care Facility SNOMEDCT Value Set	Attribute code	
		Attributes	Attributes statu	status	2.16.840.1.113883.3.117.1.7.1.87	306701001
					×	
	Patient Characteristic Race	Valuesets	ets	Race	Code	
				2.16.840.1.114222.4.11.836	1002-5	
		Attributes				
				Encounter Inpatient		
				2.16.840.1.113883.3.666.5.307	Code	
	Encounter, Performed	Valuesets		Emergency Department Visit	183452005	
				2.16.840.1.113883.3.117.1.7.1.292		
		Attributes				
_						

Figure 39. Complete and Save a Test

When all of your manual test measure entries are correct and have a completed checkmark, the select button will be enabled to allow upload of your XML files (zipped into a single file) into Cypress for certification as shown in Figure 40.

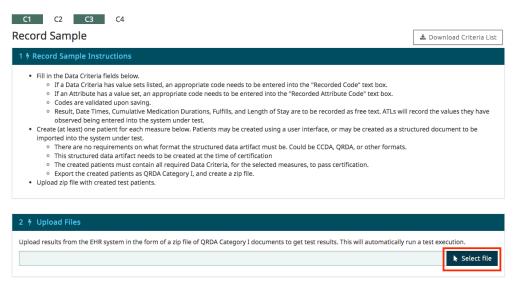


Figure 40. Select File to Upload

### 3.4.3 CQM Filtering Test

The filter functionality included in this criterion allows a provider to query for eCQM results using one or a combination of data captured by the certified health IT for quality improvement and quality reporting purposes. It can also help identify health disparities, enable care quality improvement, and support providers in delivering more effective care to their patient populations. This certification criterion requires that a Health IT Module be capable of recording

data (according to specified standards, where applicable) and filtering eCQM results at both patient and aggregate levels. These filters include, but are not limited to, practice site address, patient age, patient sex, and patient problem list.

• To begin eCQM filtering testing, click the C4 (QRDA-I and QRDA-III) Filtering Test tab, and click **start** on whichever Category I or Category III you are interested in testing as shown in Figure 41.

C1 + C3 Sample	C1 + C3 (QRDA-I)	C2 + C3 (QRDA-III)	C4 (QRDA-I and QRDA-III)						
Test the EHR system's ability to filter patient records.									
Filtering Criteria		≜ Q	RDA Category I	🔶 QRDA Category III	🔶 Last Updated	÷			
Age/Ethnicities		c	start upload	• start upload	② an hour ago				
NPI & TIN		c	start upload	• start upload	② an hour ago				
NPI, TIN & Provider Location		c	start upload	Start upload	② an hour ago				
Payers/Ethnicities		c	start upload	Start upload	② an hour ago				
Races/Genders		C	start upload	• start upload	② an hour ago				

Figure 41. Select a Category I or Category III to Test

• In this example, the Category I test page for Median Time from ED Arrival to ED Departure for Discharged ED Patients will be displayed as shown in Figure 42.

▶ Previous Test: CMS32v7		Next Test: CMS32v7 🖡	
Filtering Test Information Measure: Median Time from ED Arrival to ED Departure for Discharged ED Patients QMF ID: 40280382-5A66-EAB9-015A- IFSBASD72C52 IMS ID: CMS32v7 Fiew Patients Set Known Good Result	QRDA Category I QRDA Errors Reporting Errors Submission Errors Warnings Execution Date	QRDA Category III QRDA Errors Reporting Errors Submission Errors Warnings Execution Date start	
1 🦸 Download Test Deck	2 🕴 Filter Patients	3 🕴 Upload Files	
Download and import this data into your EHR clinical quality measure calculator.	Remove any patients not meeting the following criteria. Age As Of July 14, 2017 Minimum 62 Ethnicities Not Hispanic or Latino (code: 2186-5)	Upload results from the EHR system in the form of a zip file of QRDA Category I documents to get test results. This will automatically run a test execution. Select file	

#### Figure 42. Category I Test Page for Median Time from ED Arrival to ED Departure for Discharged ED Patients

• Patient test data for the specified test must be downloaded in the format required for the test. This step, and those following, adhere to the same process as the steps outlined in the *Measure Tests* section, and will not be repeated here.

## 3.5 Test Execution Success Criteria

The criteria to determine a successful test outcome depend on whether the EHR product is being certified against the EP eCQMs or the EH eCQMs. The criteria for test execution success are as follows:

- For EP eCQMs, a successful test outcome is one in which the following conditions are true:
  - 1. There were no XML validation errors in either the QRDA Category I submitted data or the QRDA Category III submitted data.
  - 2. All of the eCQM results calculated and submitted by the EHR SUT exactly match the values that Cypress v3.2 was expecting.
- For EH eCQMs, a successful test outcome is one in which the following conditions are true:
  - 1. There were no XML validation errors in either the QRDA Category I submitted data or the QRDA Category III submitted data.
  - 2. All of the eCQM results calculated and submitted by the EHR SUT for discrete measures exactly match the values that Cypress v3.2 was expecting.
  - 3. The eCQM results calculated and submitted by the EHR SUT for continuous variable tests are within a defined delta of the value that Cypress v3.2 was expecting.

For both types of EHR technologies, it is acceptable to have XML validation *warnings* generated by the Cypress validation process. These warnings do not constitute a test failure; however, the Test Proctor should review the warnings generated and record any relevant observations about the reasons for the warnings.

Cypress groups failed test cases into three categories: (1) QRDA errors associated with logic and improper use of the QRDA standard, (2) reporting errors associated with errors in the calculation and aggregation of measure data for reporting purposes, and (3) submission errors associated with improper logic and formatting for submitting measure data to CMS. In addition to errors, Cypress will flag and report on warnings that indicate non-conformance with the CMS Implementation Guide (and associated schematron validation). Note, for EHRs not certifying for reporting (C3), non-conformance with the HL7 QRDA Implementation Guide will be treated as warnings. Figure 43 provides an example of measure test failure results.

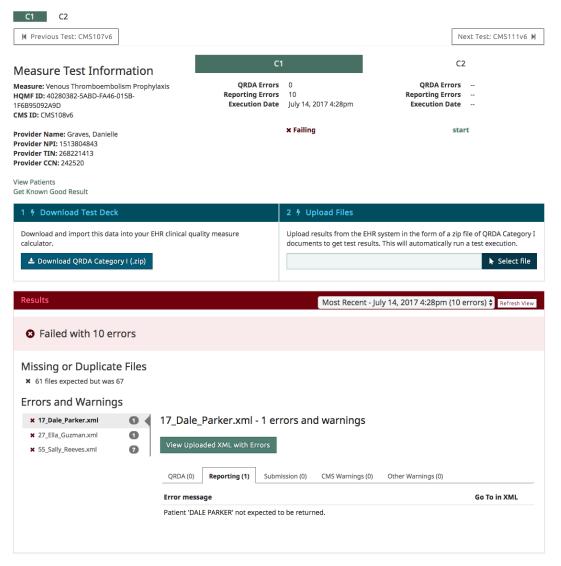


Figure 43. Measure Test Failure Messages

### 3.6 Submit

#### Electronically Submit Generated eCQM Artifacts

This functionality allows evaluation of the capability to electronically submit (a) calculated quality measures in accordance with the standard and implementation specifications, and (b) exported patient data sufficient to allow external calculation as follows:

- The Test Proctor commands the EHR SUT to generate and electronically submit the patient-level export in the QRDA Category I format for the clinical quality measures being tested.
- The Test Proctor commands the EHR SUT to generate and electronically submit an aggregate report in the QRDA Category III format of the clinical quality measures calculated in the test.

- The Test Proctor validates that the calculated clinical quality measures are submitted in accordance with the QRDA Category III standard and implementation specifications by uploading the QRDA Category III XML via the Cypress v3.2 User Interface and the Cypress-supplied XML Schema validation.
- The Test Proctor validates that the exported patient-level eCQM data are submitted in accordance with the QRDA Category I standard and implementation specifications by uploading the QRDA Category I XML via the Cypress v3.2 User Interface and the Cypress-supplied XML Schema validation.

### 3.7 Verify

### Verify Electronic and Accurate Generation of Clinical Quality Measure Artifacts

This functionality allows evaluation of the capability to electronically and accurately generate eCQM artifacts appropriate for each phase of the test procedure as follows:

- After the Test Proctor validates the submission of the QRDA Category III, the Test Proctor evaluates and displays the accuracy of the submitted clinical quality measure results via the Cypress v3.2 User Interface.
- The Test Proctor generates a test artifact bundle containing all test data used for this test execution, all products generated and submitted by the EHR SUT, and any other additional notes or information that the Test Proctor deems relevant into a single archive file that is cryptographically signed.

## Acronyms

ACO	Accountable Care Organization			
ATL	Authorized Testing Lab			
CAMH	CMS Alliance to Modernize Healthcare			
CMS	Centers for Medicare & Medicaid Services			
CQM	Clinical Quality Measure			
eCQM	Electronic Clinical Quality Measure			
EH	Eligible Hospital			
EHR	Electronic Health Record			
EP	Eligible Professional			
FFRDC	Federally Funded Research and Development Center			
HHS	Department of Health and Human Services			
HL7	Health Level Seven International			
HTML	Hypertext Markup Language			
IG	Implementation Guide			
NIST	National Institute of Standards and Technology			
ONC	Office of the National Coordinator for Health Information Technology			
QDM	Quality Data Model			
QRDA	Quality Reporting Document Architecture			
SUT	System Under Test			
XML	Extensible Markup Language			